

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**



PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau

INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

|   |  |   |  |
|---|--|---|--|
| (51) International Patent Classification 5 :<br><b>C12N 15/82, 5/10, A01H 5/00</b>  |  | A1  | (11) International Publication Number: <b>WO 91/09957</b><br>(43) International Publication Date: <b>11 July 1991 (11.07.91)</b> |
| (21) International Application Number: <b>PCT/US90/07295</b><br>(22) International Filing Date: <b>19 December 1990 (19.12.90)</b>                |  | (72) Inventors; and<br>(75) Inventors/Applicants (for US only) : ODELL, Joan, Tellefson [US/US]; 127 Monitor Place, Unionville, PA 19375 (US). RUSSELL, Sandra, Hoff [US/US]; 9 Cook Court, Avondale, PA 19311 (US). SAUER, Brian, Lee [US/US]; 2514 Redstart Court, Wilmington, DE 19805 (US). HSU, Francis, Chuoh [CN/US]; 434 Coldspring Run, Newark, DE 19711 (US). |  |
| (30) Priority data:<br>455,221 22 December 1989 (22.12.89) US   |  | (74) Agents: HAMBY, William, H. et al.; E.I. du Pont de Nemours and Company, Legal/Patent Records Center, 1007 Market Street, Wilmington, DE 19898 (US).  |  |
| (60) Parent Application or Grant<br>(63) Related by Continuation<br>US Filed on 455,221 (CIP)<br>22 December 1989 (22.12.89)                      |  | (81) Designated States: AT (European patent), AU, BE (European patent), BR, CA, CH (European patent), DE (European patent), DK (European patent), ES (European patent), FR (European patent), GB (European patent), GR (European patent), IT (European patent), JP, LU (European patent), NL (European patent), SE (European patent), SU, US.                           |  |
| (71) Applicant (for all designated States except US): E.I. DU PONT DE NEMOURS AND COMPANY [US/US]; 1007 Market Street, Wilmington, DE 19898 (US). |  | Published<br>With international search report.  |  |

(54) Title: SITE-SPECIFIC RECOMBINATION OF DNA IN PLANT CELLS

(57) Abstract

A method for producing site-specific recombination of DNA in plant cells. A first DNA sequence comprising a first *lox* site and a second DNA sequence comprising a second *lox* site are introduced into the cells. The *lox* sites are contacted with *Cre* to produce recombination. Also disclosed are related plasmids, transformed plant cells, and plants containing the transformed cells.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

|    |                          |    |                                       |    |                          |
|----|--------------------------|----|---------------------------------------|----|--------------------------|
| AT | Austria                  | ES | Spain                                 | MG | Madagascar               |
| AU | Australia                | FI | Finland                               | ML | Mali                     |
| BB | Barbados                 | FR | France                                | MN | Moagascar                |
| BE | Belgium                  | GA | Gabon                                 | MR | Mauritania               |
| BF | Burkina Faso             | GB | United Kingdom                        | MW | Malawi                   |
| BG | Bulgaria                 | GN | Guinea                                | NL | Netherlands              |
| BJ | Benin                    | GR | Greece                                | NO | Norway                   |
| BR | Brazil                   | HU | Hungary                               | PL | Poland                   |
| CA | Canada                   | IT | Italy                                 | RO | Romania                  |
| CF | Central African Republic | JP | Japan                                 | SD | Sudan                    |
| CG | Congo                    | KP | Democratic People's Republic of Korea | SE | Sweden                   |
| CH | Switzerland              | KR | Republic of Korea                     | SN | Senegal                  |
| CI | Côte d'Ivoire            | LI | Liechtenstein                         | SU | Soviet Union             |
| CM | Cameroon                 | LK | Sri Lanka                             | TD | Chad                     |
| CS | Czechoslovakia           | LU | Luxembourg                            | TC | Togo                     |
| DE | Germany                  | MC | Monaco                                | US | United States of America |
| DK | Denmark                  |    |                                       |    |                          |

TITLESITE-SPECIFIC RECOMBINATION OF DNA IN PLANT CELLS  
CROSS-REFERENCE TO RELATED APPLICATION

5 This application is a continuation-in-part of copending application Serial No. 07/455,221, filed December 22, 1989.

FIELD OF THE INVENTION

10 This invention relates to a method for producing site-specific recombination of DNA in plant cells and to the novel recombinant DNA constructs used to introduce and express the lox and cre components of the recombination system, as well as to transgenic lox and cre containing plants and their seeds.

15 BACKGROUND OF THE INVENTION

A variety of materials, systems and organisms have been the subject of genetic engineering to introduce systems to manipulate DNA.

Abremski et al., Cell, 32: 1301-1311 (1983) disclose 20 a site-specific recombination system of bacteriophage P1. The system consists of a recombination site designated loxP and a recombinase designated Cre. Recombination between loxP sites on supercoiled, nicked-circle or linear DNA occurs in the presence of Cre.

25 Sauer, Molecular and Cellular Biology, 1: 2087-2096 (1987) discloses that the loxP-cre recombination system functions in the yeast Saccharomyces cerevisiae. This system was used to excise a gene located between two lox sites which had been introduced into the yeast genome.

30 Cre was expressed from an inducible yeast GAL1 promoter and this cre gene was located on an autonomously replicating yeast vector.

Sauer and Henderson, Proc. Natl. Acad. Sci. USA, 85: 5166-5170 (1988) disclose that the loxP-cre 35 recombination system functions in a transient manner in

mouse cells in tissue culture. Cre was expressed from an inducible mouse metallothionein promoter, the cre gene being located on a papilloma virus replicon-containing vector. Excision of a gene located between two lox sites on a plasmid that was transiently introduced into cells, or of an insert in a gene of a herpesvirus vector, was demonstrated.

5 Sauer and Henderson, Nucl. Acids Res., 17: 147-161 (1989) disclose that the loxP-cre recombination system functions in a stably transformed mouse tissue culture cell line. Cre, expressed from a rous sarcoma virus promoter, caused excision of a gene located between two lox sites that were integrated in the mouse cell genome.

10 Gatz and Quail, Proc. Natl. Acad. Sci. USA, 85: 1394-1397 (1988) disclose that expression of the bacterial tet repressor protein in plant protoplasts in culture in a transient manner results in regulation of a CaMV 35S promoter that has tet operator sequences added to it and is also transiently present in the 15 protoplasts.

20 Baker et al., Proc. Natl. Acad. Sci. USA, 83: 4844-4848 (1986) disclose that the controlling element called "activator" that is derived from maize can excise itself after being introduced into the tobacco genome.

25 Lassner et al., Mol. Gen. Genet., 218: 25-32 (1989) disclose that the "activator" element can be separated into two functional components: i) an element with a large internal deletion that cannot excise itself, but can be excised by ii) an element with a terminal 30 deletion that cannot excise. These two components were separately transformed into tomato plants, brought together by genetic crosses, and shown to result in excision of the first component in some cells. This experiment indicates that elements from one plant genome 35 can lead to recombination in heterologous plant cells,

however the DNA sequences required for activity of the recombination site are not defined.

It is an object of the present invention to manipulate exogenous DNA once it is resident in the plant cell to enhance the ability to control trait expression in engineered plants. A feature of the present invention is the versatility of the method disclosed herein for producing site-specific recombination of DNA in plant cells in that the method is useful toward a wide variety of applications. These and other objects, features and advantages will become apparent upon having reference to the description of the invention herein.

#### SUMMARY OF THE INVENTION

15 The present invention provides a method for producing site-specific recombination of DNA in plant cells. The method (1) comprises:

- 20 i) introducing into the cells a first DNA sequence comprising a first lox site, and a second DNA sequence comprising a second lox site, and
- ii) contacting the lox sites with Cre, thereby producing the site-specific recombination.

In a preferred embodiment, a third DNA sequence 25 comprising a *cre* gene is also introduced into the cells. This third DNA sequence may further comprise a promoter that is active in plant cells and expression of the *cre* gene is produced by direction of the promoter. Another method of the present invention is directed to method 30 (1), wherein the first and second DNA sequences are introduced into two different DNA molecules and the site-specific recombination is a reciprocal exchange of DNA segments proximate to the lox sites.

The present invention also provides a method of excising exogenous genes or DNA segments in transgenic plants. This method comprises:

- 1) introducing into the cells a DNA sequence comprising a first lox site, a second lox site in the same orientation as the first lox site, and a gene or a DNA sequence therebetween; and
- 2) contacting the lox sites with Cre, thereby excising the heterologous gene or DNA sequence. The gene may be an undesired marker or trait gene.

Further claimed herein are plant cells transformed with a DNA sequence comprising at least one lox site, or with a cre coding region. Various plants are also claimed herein, such as a plant containing cells transformed with a cre coding region, preferably having agronomic or horticultural utility. Plasmids are claimed, having at least one lox site, a pre-selected DNA segment selected from the group consisting of a gene, a coding region and a DNA sequence that influences gene expression in plant cells. Similarly, DNA sequences are claimed, such as the sequence comprising at least one lox site and a pre-selected DNA segment selected from the group consisting of a gene, a coding region, and a DNA sequence that influences gene expression in plant cells.

Typical trait genes of interest in the present invention include those encoding enzymes or other proteins to confer altered oil composition in seed; altered seed protein composition; altered carbohydrate composition in seed; altered carbohydrate composition in fruit; altered pollen development properties; herbicide resistance; fungicide resistance; insecticide resistance; and the like.

Typical marker genes include those conferring hygromycin resistance, kanamycin resistance, bleomycin

resistance, sulfonylurea resistance, streptomycin resistance or phosphinothricin resistance; or  $\beta$ -glucuronidase.

The gene may cause disruption of the cells expressing it such as ones encoding an RNase, restriction endonuclease, protease, a ribozyme, or an antisense RNA.

DNA segments of interest include those that reduce or block expression of an adjacent gene such as a 10 polyadenylation nucleotide sequence or one with ATG sequence(s) in it.

The DNA segment may influence plant gene expression, including but not limited to a polyadenylation nucleotide sequence; a promoter; a regulatory nucleotide sequence; a coding region; a ribozyme; and an antisense RNA sequence.

#### BRIEF DESCRIPTION OF FIGURES

The invention will be more fully appreciated and understood upon having reference to the following 20 Figures.

Figure 1 shows maps of plasmids used in matings with Agrobacterium for plant transformations. Restriction sites used in making the constructions are marked as B: BamHI, C: ClaI, E: EcoRI, H: HindIII, P: PstI, S: SalI, 25 X: XbaI.

(A) of this figure represents the Cre/Hpt-A plasmid.  
(B) of this figure represents the Cre/Hpt-B plasmid.  
(C) of this figure represents the loxP/NptII/Hra plasmid.

30 Figure 2 illustrates site-specific recombination in loxP plants re-transformed with Agrobacterium tumefaciens harboring the Cre/Hpt-B vector.

(A) is results from a callus induction assay.  
(B) is a map of the lox region of the loxP/NptII/Hra 35 vector.

(C) shows Southern blot analysis of re-transformed plants.

Figure 3 shows kanamycin resistance in loxP x Cre hybrids from homozygous parents.

5 Figure 4 shows a map of plasmid pZ4LoxAG that was introduced into Agrobacterium tumefaciens and then into plants.

10 Figure 5 shows a map of plasmid pBSCre103 that was introduced into Agrobacterium tumefaciens and then into plants.

#### DETAILED DESCRIPTION OF THE INVENTION

In the method of the invention using three DNA sequences, the first and second DNA sequences may be introduced into the cells connected by a pre-selected DNA segment. In such a case, the first and second lox sites may have the same orientation and the site-specific recombination of DNA is a deletion of the pre-selected DNA segment. The cre coding region may be derived from bacteriophage P1, and the first and second lox sites may be loxP or derivatives thereof. The pre-selected DNA segment is selected from the group consisting of a gene, a coding region, and a DNA sequence that influences gene expression in plant cells. Alternatively the segment may be an undesired marker or trait gene. The first and second lox sites may be selected to have opposite orientations and the site-specific recombination may be an inversion of the nucleotide sequence of the pre-selected DNA segment. In such case, the same selection of cre coding region, lox sites, and pre-selected DNA segment as referenced earlier are preferred. Similarly, in the aforementioned procedure wherein DNA sequences are introduced into two different DNA molecules, selections of cre coding region and lox sites as referenced earlier are also preferred. 30 Plant cells of the invention may contain Cre protein or 35

be transformed with a DNA sequence comprising at least one lox site. In the latter case, in such plants the DNA sequence may comprise two lox sites and a cre coding region, and preferably exhibit agronomic or horticultural utility. Plasmids, according to the invention, may have at least one lox site and a DNA sequence that influences gene expression in plant cells. For this type of plasmid, the DNA sequence may be a polyadenylation nucleotide sequence derived from the ribulose bisphosphate carboxylase (Rubisco) small subunit gene. Alternatively, the DNA sequence is a promoter, or a regulatory nucleotide sequence. In the plasmid the DNA sequence may be a selection marker. Of particular interest is a plasmid having a cre coding region and a promoter that is active in plant cells. Particular plasmids of interest include Plasmid Cre/Hpt-A (characterized by the restriction enzyme map shown in Figure 1A, or a derivative thereof), Cre/Hpt-B (characterized by the restriction enzyme map shown in Figure 1B, or a derivative thereof), loxP/NptII/Hra (characterized by the restriction enzyme map shown in Figure 1C, or a derivative thereof) and pZ24loxAG (characterized by the restriction map shown in Figure 4, or a derivative thereof). Of particular interest is the use of the methods of the invention in the manufacture of seedless produce.

As used herein, the expression "site-specific recombination" is intended to include the following three events:

- 30 1. deletion of a pre-selected DNA segment flanked by lox sites,
2. inversion of the nucleotide sequence of a pre-selected DNA segment flanked by lox sites, and
- 35 3. reciprocal exchange of DNA segments proximate to lox sites located on different DNA molecules.

It is to be understood that this reciprocal exchange of DNA segments can result in an integration event.

In the context of this disclosure, a number of terms shall be utilized.

5 The expression "nucleotide sequence" refers to a polymer of DNA or RNA, which can be single- or double-stranded, optionally containing synthetic, non-natural, or altered nucleotides capable of incorporation into DNA or RNA polymers.

10 "DNA segment" refers to a linear fragment of single- or double-stranded deoxyribonucleic acid (DNA), which can be derived from any source. The expression "DNA in plant cells" includes all DNA present in plant cells.

15 As used herein, a "gene" is intended to mean a DNA segment which is normally regarded as a gene by those skilled in the art.

"Coding region" refers to a DNA segment which encodes a regulatory molecule or any polypeptide.

20 The expression "regulatory molecule" refers to a polymer of ribonucleic acid (RNA), such as antisense RNA or a ribozyme, or a polypeptide which is capable of enhancing or inhibiting expression of a gene product.

25 "Gene product" refers to a polypeptide resulting from transcription, translation, and, optionally, post-translational processing of a selected DNA segment.

30 The term "expression" as used herein is intended to mean the translation to gene product from a gene coding for the sequence of the gene product. In expression, a DNA chain coding for the sequence of gene product is first transcribed to a complementary RNA which is called a messenger RNA and then, the thus transcribed messenger RNA is translated into the above-mentioned gene product.

35 As used herein, the term "promoter region" refers to a sequence of DNA, usually upstream (5') of the coding sequence, which controls the expression of the coding

region by providing the recognition for RNA polymerase and/or other factors required for transcription to start at the correct site. A "promoter fragment" constitutes a DNA sequence consisting of the promoter region.

5 A promoter region can include one or more regions which control the effectiveness of transcription initiation in response to physiological conditions, and a transcription initiation sequence.

"Tissue specific promoters" as referred to herein 10 are those that direct gene expression primarily in specific tissues such as roots, leaves, stems, pistils, anthers, flower petals or epidermal layers. Transcription stimulators, enhancers or activators may be integrated into tissue specific promoters to create a 15 promoter with a high level of activity that retains tissue specificity.

"Regulatory nucleotide sequence", as used herein, refers to a nucleotide sequence located proximate to a 20 coding region whose transcription is controlled by the regulatory nucleotide sequence in conjunction with the gene expression apparatus of the cell. Generally, the regulatory nucleotide sequence is located 5' to the coding region. A promoter can include one or more regulatory nucleotide sequences.

25 "Polyadenylation nucleotide sequence" or "polyadenylation nucleotide region" refers to a nucleotide sequence usually located 3' to a coding region which controls the addition of polyadenylic acid to the RNA transcribed from the coding region in 30 conjunction with the gene expression apparatus of the cell.

"DNA segment that influences gene expression in plant cells" can include a coding region, a promoter, a regulatory nucleotide sequence, a polyadenylation

nucleotide sequence, or other DNA sequence regarded as influencing gene expression by those skilled in the art.

As used herein, "transformation" means processes by which cells/tissues/plants acquire properties encoded on a nucleic acid molecule that has been transferred to the cell/tissue/plant. "Transferring" refers to methods to transfer DNA into cells including, but not limited to, microinjection, permeabilizing the cell membrane with various physical (e.g., electroporation) or chemical (e.g., polyethylene glycol, PEG) treatments, high-velocity microprojectile bombardment also termed biotics, or infection with Agrobacterium tumefaciens or A. rhizogenes. As used herein, "transformant" means a plant which has acquired properties encoded on a nucleic acid molecule that has been transferred to cells during the process known as transformation. As used herein, "re-transformation" means transformation of cells/tissues/plants which are in themselves transformants.

As used herein, "sexual hybridization" means the production of offspring by crossbreeding of two plants that are genetically different, such as those which have different DNA sequences integrated into their genome.

As used within "integrated" means that the transferred DNA is incorporated into the plant genome.

As used herein the expression "lox site" means a nucleotide sequence at which the gene product of the cre gene, referred to herein as Cre, can catalyze a site-specific recombination. The loxP site is a 34 base pair nucleotide sequence which can be isolated from bacteriophage P1 by methods known in the art. One method for isolating a loxP site from bacteriophage P1 is disclosed by Hoess et al., Proc. Natl. Acad. Sci. USA, 79: 3398 (1982). The loxP site consists of two 13 base pair inverted repeats separated by an 8 base pair

spacer region. The nucleotide sequences of the inverted repeats and the spacer region are as follows:

ATAAACTTCGTATA ATGTATGC TATACGAAGTTAT.

5        *E. coli* transformed with plasmid loxP/NptII/Hra carrying two lox sites, one on either side of a polyadenylation nucleotide sequence derived from a tobacco Rubisco small subunit gene, has been deposited with the ATCC under the Budapest treaty agreement and bears deposit accession number 68177. This and other deposits are available to 10 the public upon the grant of a patent to the assignee. However, it should be understood that the availability of a deposit does not constitute a license to practice the subject invention in derogation of patent rights granted by governmental action. The lox sites and 15 intervening region can be excised from plasmid loxP/NptII/Hra with the restriction enzyme HindIII. In addition, a preselected DNA segment can be inserted into loxP/NptII/Hra at the BamHI restriction enzyme site by techniques known in the art. Other suitable lox sites 20 include loxB, loxL and loxR sites which are nucleotide sequences isolated from *E. coli*. These sequences are disclosed and described by Hoess et al., Proc. Natl. Acad. Sci. USA, 79: 3398 (1982). Lox sites can also be produced by a variety of synthetic techniques which are 25 known in the art. For example, synthetic techniques for producing lox sites are disclosed by Ito et al., Nuc. Acid Res., 10: 1755 (1982) and Ogilvie et al., Science, 214: 270 (1981).

30        Methods for introducing a DNA sequence into plant cells are known in the art. Nucleic acids can generally be introduced into plant protoplasts, with or without the aid of electroporation, polyethylene glycol, or other processes known to alter membrane permeability. Nucleic acid constructs can also be introduced into 35 plants using vectors comprising part of the Ti- or Ri-

plasmid, a plant virus, or an autonomously replicating sequence. Nucleic acid constructs can also be introduced into plants by microinjection or by high-velocity microprojectiles, also termed "particle bombardment" or "biolistics" [Sanford, J. C., Tibtech 6: 299 (1988)], directly into various plant parts. The preferred means of introducing a nucleic acid fragment into plant cells involves the use of A. tumefaciens containing the nucleic acid fragment between T-DNA borders either on a disarmed Ti-plasmid (that is, a Ti-plasmid from which the genes for tumorigenicity have been deleted) or in a binary vector *in trans* to a disarmed Ti-plasmid. The Agrobacterium can be used to transform plants by inoculation of tissue explants, such as stems, roots, or leaf discs, by co-cultivation with plant protoplasts, or by inoculation of seeds or wounded plant parts.

The range of crop species in which foreign genes can be introduced is increasing rapidly as tissue culture and transformation methods improve and as selectable markers become available. Thus, this invention is applicable to a broad range of agronomically or horticulturally useful plants. The particular method which is employed to introduce the DNA sequence into a selected plant cell is not critical. In a preferred embodiment, DNA sequences are introduced into plant cells by co-cultivation of leaf discs with A. tumefaciens essentially as described by Horsch et al., Science, 227: 1229-1231 (1985) omitting the nurse cultures.

In the present method, the *lox* sites are contacted with Cre, thereby producing the site specific recombination. In one embodiment, Cre or *cre* messenger RNA is introduced into the cells directly by microinjection, biolistics, or other protein or RNA

introduction procedure. In a preferred embodiment, the cre coding region is introduced into the plant cell under the control of a promoter that is active in plant cells. Suitable regulatory nucleotide sequences are known in the art. The promoter which is employed with a selected plant cell is not critical to the method of the invention. A partial list of suitable promoters include the 35S promoter of cauliflower mosaic virus described by Odell et al., Nature, 313: 810-812 (1985); the promoter from the nopaline synthase gene of A. tumefaciens described by Depicker et al., J. of Mol. Appl. Genet., 1: 561-573 (1982); the promoter from a Rubisco small subunit gene described by Mazur and Chui, Nucleic Acids Research 13: 2373-2386 (1985); the 1' or 2' promoter from the TR-DNA of A. tumefaciens described by Velten et al., EMBO J. 12:2723-2730 (1984); the promoter of a chlorophyll a/b binding protein gene described by Dunsmuir et al., J. Mol. Appl. Genet. 2:285-300 (1983); the promoter of a soybean seed storage protein gene described by Chen et al., Proc. Natl. Acad. Sci. USA, 83: 8560-8564 (1986); and the promoter from the wheat EM gene described by Marcotte et al., Nature 335: 454-457 (1988). Cre can be expressed throughout the plant generally in all cells at all stages of development, or expression of cre can be more specifically controlled through the use of promoters or regulatory nucleotide sequences having limited expression characteristics. Cre can be expressed in a tissue specific manner, for example only in roots, leaves, or certain flower parts. Cre can be expressed in a developmentally specific time period, for example only during seed formation or during reproductive cell formation. Cre expression can also be placed under the control of a promoter that can be regulated by application of an inducer. In this case cre expression

is off or very low until the external inducer is applied. Promoters active in plant cells have been described that are inducible by heat shock [Gurley et al., Mol. Cell. Biol. 6: 559-565 (1986)], ethylene [Broglie et al., Plant Cell 1: 599-607 (1989)], auxin [Hagan and Guilfoyle, Mol. Cell. Biol. 5: 1197-1203 (1985)], abscisic acid [Marcotte et al., Nature 335: 454-457 (1988)], salicylic acid (EPO 332104A2 and EPO 337532A1), and substituted benzenesulfonamide safeners (WO 90/11361). Control of cre expression by the safener-inducible promoter 2-2, or its derivatives, allows the expression to be turned on only when the inducing chemical is applied and not in response to environmental or phytohormonal stimuli. Thus cre expression can be initiated at any desired time in the plant life cycle. Preferably, the regulatory nucleotide sequence is a 35S promoter or a 2-2 promoter.

The gene product of the cre coding region is a recombinase herein designated "Cre" which effects site-specific recombination of DNA at lox sites. As used herein, the expression "cre coding region" means a nucleotide sequence which codes for a gene product which effects site-specific recombination of DNA in plant cells at lox sites. One cre coding region can be isolated from bacteriophage P1 by methods known in the art. One method for isolating a cre coding region from bacteriophage P1 is disclosed by Abremski et al., Cell, 32: 1301-1311 (1983). The naturally occurring cre coding region can be altered by mutation to produce Cre proteins with altered properties as described by Wierzbicki et al., J. Mol. Biol., 195: 785-794 (1987). These altered Cre proteins retain their identities as Cre.

E. coli transformed with plasmid Cre/Hpt-A and E. coli transformed with plasmid Cre/Hpt-B both carrying a

5                   cre coding region isolated from bacteriophage P1 and a cauliflower mosaic virus (CaMV) 35S promoter have been deposited with the ATCC and bear deposit accession numbers ATCC 68176 and ATCC 68175, respectively. The cre coding region can be isolated from plasmid Cre/Hpt-B with the restriction enzymes KpnI and SalI.

10                  In one embodiment, the first, second, and optionally, third DNA sequences are introduced into one plant by transformation either in one step or in two or three successive steps. Alternatively, the first and second DNA sequences are introduced into one plant and the third DNA sequence into a different plant. The two plants are then sexually hybridized to produce progeny having all three DNA sequences. In another embodiment 15 the first, second, and third DNA sequences are each introduced separately into a plant and the three are brought together by sexual hybridization.

20                  Most preferably, the plasmid for introducing a DNA sequence comprising a promoter and a cre coding region is Cre/Hpt-A or Cre/Hpt-B and the plasmid for introducing a DNA sequence comprising a lox site is loxP/NptII/Hra or derivatives thereof carrying a pre-selected DNA segment other than or in addition to the Rubisco small subunit polyadenylation nucleotide 25 sequence located between the first and second lox sites. These plasmids can be used to generate plants carrying cre or lox by those skilled in the art or as taught in this application. A Cre plant and a lox plant can be sexually hybridized to produce hybrid progeny plants 30 containing a cre coding region and lox sites.

35                  Since the lox site is an asymmetrical nucleotide sequence, the lox sites on the same DNA molecule can have the same or opposite orientation with respect to each other. Recombination between lox sites in the same orientation results in a deletion of the DNA segment

located between the two lox sites and a connection between the resulting ends of the original DNA molecule. The deleted DNA segment forms a circular molecule of DNA. The original DNA molecule and the resulting circular molecule each contain a single lox site. Recombination between lox sites in opposite orientations on the same DNA molecule result in an inversion of the nucleotide sequence of the DNA segment located between the two lox sites. In addition, reciprocal exchange of DNA segments proximate to lox sites located on two different DNA molecules can occur. All of these recombination events are catalyzed by the product of the cre coding region.

In a preferred embodiment of the present invention, 15 the first and second DNA sequences are introduced into plant cells connected by a pre-selected DNA segment. The segment can be a gene or any other sequence of deoxyribonucleotides of homologous, heterologous or synthetic origin. Preferably, the pre-selected DNA 20 segment is a gene for a structural protein, an enzyme, or a regulatory molecule; or a DNA sequence that influences gene expression in plant cells such as a regulatory nucleotide sequence, a promoter, or a polyadenylation nucleotide sequence. If the first and 25 second lox sites have the same orientation, contact with Cre produces a deletion of the pre-selected DNA segment. If the first and second lox sites have opposite orientation, contact with Cre produces an inversion ("flipping") of the nucleotide sequence of the pre-selected DNA segment.

An effort was made to demonstrate the activation of gene expression using the flipping mode of the loxP-cre system. A construction was made in which the coding and polyadenylation regions from a sulfonylurea-resistant 35 ALS gene were placed between two synthetic loxP sites

that were in inverted orientation relative to each other. This loxP bounded fragment was placed in inverted orientation to the 35S promoter such that it would not be expressed. The entire interrupted gene was 5 put into a binary vector including a kanamycin resistance selection marker, introduced into A. tumefaciens, and then into tobacco plants. As expected, kanamycin-resistant transformants were not resistant to chlorsulfuron (a sulfonylurea), 10 demonstrating no expression of the inverted coding region. Tissue was taken from selected transformants and retransformed using Agrobacterium containing -/Hpt or Cre/Hpt-B (Example 4). Hygromycin selected plants that received Cre retained their sensitivity to 15 chlorsulfuron, indicating that the sulfonylurea-resistant ALS gene was not activated. The ALS gene was not activated because the loxP-bounded fragment did not flip in the plants. This was determined by analyzing plant DNA on Southern blots: a band representing the 20 original lox construction was detected, but no band representing the flipped loxP-bounded fragment was detected. The loxP-bounded fragment was then shown to be incapable of flipping in an *in vitro* reaction using purified Cre. Thus this particular lox construction was 25 defective in some as yet undetermined aspect. It is fully anticipated that if the loxP-bounded fragment were capable of flipping in the *in vitro* reaction, it would flip in plants containing Cre and the ALS gene would be activated.

30

#### UTILITY

The invention permits the site-specific recombination of DNA at the points of the introduced lox sites in any of the following ways:

35

- (a) Deletion of the DNA segment flanked by lox sites (excision);

5 (b) Inversion of the nucleotide sequence of the DNA segment flanked by lox sites (flipping); or  
10 (c) reciprocal exchange of DNA segments proximate to lox sites located on different molecules (exchange).

15 Mode (a), excision, occurs when the lox sites are in like orientation on the same DNA molecule. One example of this event is to permit the removal of undesired marker genes, such as those that confer antibiotic resistance or herbicide resistance, in transgenic plants. Removal of the marker would also allow the use of the same marker in a second transformation of the transgenic plant. Also a trait gene that is undesired in a specific tissue or at a certain developmental time can be excised. Also a DNA sequence influencing expression of a gene can be excised resulting in increased or decreased expression of the gene. One skilled in the art will recognize that the reverse of 20 excision (i.e., integration) may also be performed.

25 Mode (b), flipping, occurs when the lox sites are in reverse orientation on the same DNA molecule. This event may provide new methods of cre-regulated gene expression. Gene expression can be turned on by changing the direction of a promoter or regulatory nucleotide sequence from an inactive to an active orientation with respect to a coding region. Also changing the orientation of a coding region with respect to a promoter will alter its expression. Other ways to 30 turn expression of a gene off include flipping an antisense RNA or ribozyme from an inactive to an active orientation.

Mode (c), exchange, may provide useful tools for recombinant alterations of plant DNA.

One application of the instant invention is in controlling male fertility in a method for producing hybrid crops. Hybridization of a crop involves the crossing of two different lines to produce hybrid seed from which the crop plants are grown. Hybrid crops are superior in that more of the desired traits can be introduced into the production plants. For instance, quality traits such as oil content, herbicide resistance, disease resistance, adaptability to environmental conditions, and the like, can be hybridized in offspring so that the latter are invested with the most desirable traits of its parents. In addition, progeny from a hybrid cross may possess new qualities resulting from the combination of the two parental types, such as yield enhancement resulting from the phenomenon known as heterosis. Controlled cross-fertilization to produce hybrid seeds has been difficult to achieve commercially due to competing self-fertilization, which occurs in most crop plants.

Currently, hybrid seed production is performed by one of the following means: (a) mechanically removing or covering the male organs to prevent self-fertilization followed by exposing the male-disabled plants to plants with male organs that contain the trait(s) desired for crossing; (b) growing genetically male-sterile plants in the presence of plants with fertile male organs that contain the trait that is desired for crossing; or (c) treating plants with chemical hybridizing agents (CHA) that selectively sterilize male organs followed by exposing the male-disabled plants to plants with fertile male organs that contain the trait that is desired for crossing. Some disadvantages to each of these methods include: (a) applicability only to a few crops, such as corn, where the male and female organs are well separated; and it is

labor intensive and costly; (b) genetically male sterile lines are cumbersome to maintain, requiring crosses with restorer lines; (c) all CHAs exhibit some degree of general phytotoxicity and female fertility reduction.

5 Also CHAs often show different degrees of effectiveness toward different crop species, or even toward different varieties within the same species.

10 A new molecular genetic approach to hybrid crop production that is applicable to a wide range of crops and involves genetic male sterility has been developed by Plant Genetic Systems. As described in EPA 89-344029, this system involves the introduction of a cell disruption gene that is expressed only in the tapetal tissue of anthers thereby destroying the 15 developing pollen. The resulting genetically male sterile plants serve as the female parents in the cross to produce hybrid seed. This system could be highly effective and desirable. However one disadvantage is that since the male sterile parent is heterozygous for the sterility gene which acts as a dominant trait, only 20 50% of the plants grown from the hybrid seed are fertile, the rest retain the sterility gene. This situation will result in reduced pollen shed in the production field which may lead to reduced seed set and yield. Addition of loxP-cre technology to this hybrid 25 scheme will allow restoration of fertility to a much higher percentage of plants in the production field, as well as elimination of the cell disruption gene. Placing the male sterility gene between loxP sites 30 allows it to be deleted following introduction of Cre into the hybrid from the male parent.

35 Another application of the instant invention is in making seedless produce. Seedlessness is desirable in consumed produce for convenience and taste. Currently "seedless" watermelon is sold that actually contains

some developed seed and a large number of immature seed that varies in size up to that of fully mature seed. To produce these watermelon first a hybrid cross is made between a tetraploid maternal parent and a diploid 5 pollinator. The resulting triploid seed produces self-infertile plants that are crossed with a diploid pollinator to produce seedless fruit [H. Kihara, Proc. Soc. Hort. Sci., 58:217-230, (1951)]. This production scheme suffers the following problems: (i) Creating a 10 tetraploid plant, which is accomplished by a chromosome duplication method, is difficult. Also the number of seeds per fruit on this tetraploid plant must be low since this has a positive correlation with seed number in the final product [C. F. Andrus, Production of Seedless Watermelons, USDA Tech. Bull. No. 1425 (1971)]. (ii) Good combining ability of the diploid pollinator and the tetraploid plant is difficult to achieve [W. R. Henderson, J. Amer. Soc. Hort. Sci., 102:293-297 15 (1977)]. (iii) The triploid seeds are much inferior to 20 regular diploid seeds in vigor and germinability [D. N. Maynard, Hort. Sci., 24:603-604 (1989)]. These problems, together with incomplete seedlessness in the final product, make the development of seedless watermelon slow and difficult. This ploidy-based 25 approach to seedlessness is possible only in those few species where tetraploid and diploid plants are viable.

A molecular genetic approach to seedlessness involving loxP-cre is much more efficient, resulting in a more reliably seedless product and does not involve 30 changes in ploidy. Thus it is more generally applicable to a wider range of species. A lox/polyA-inactivated cell disruption gene regulated by a seed-specific promoter is introduced into a plant. When this plant is crossed to a plant expressing Cre, the disruption gene 35 is activated and expressed in the seed, thereby

disrupting seed development. The certainty of endosperm failure (caused by the cell disruption gene product) leading to the abortion of the whole seed is very high. In most dicots, the endosperm supplies the nutrients needed for early embryo development. Endosperm abortion invariably leads to seed abortion [R. A. Brink and D. C. Cooper, Bot. Rev. 8: 423-541 (1947)].

The seed-specific promoter used is selected from the group of promoters known to direct expression in the embryo and/or the endosperm of the developing seed, most desirably in the endosperm. Examples of seed-specific promoters include but are not limited to the promoters of seed storage proteins. The seed storage proteins are strictly regulated, being expressed almost exclusively in seeds in a highly tissue-specific and stage-specific manner [Higgins et al., Ann. Rev. Plant Physiol. 35: 191-221 (1984); Goldberg et al., Cell 56: 149-160 (1989)]. Also, different seed storage proteins may be expressed at different stages of seed development and in different parts of the seed.

There are numerous examples of seed-specific expression of seed storage protein genes in transgenic dicotyledonous plants. These include genes from dicotyledonous plants for bean  $\beta$ -phaseolin [Sengupta-Gopalan et al., Proc. Natl. Acad. Sci. USA 82: 3320-3324 (1985) and Hoffman et al., Plant Mol. Biol. 11: 717-729 (1988)], bean lectin [Voelker et al., EMBO J 6: 3571-3577 (1987)], soybean lectin [Ocamuro et al., Proc. Natl. Acad. Sci. USA 83: 8240-8344 (1986)], soybean kunitz trypsin inhibitor [Perez-Grau and Goldberg Plant Cell 1: 1095-1109 (1989)], soybean  $\beta$ -conglycinin [Beachy et al., EMBO J 4: 3047-3053 (1985), Barker et al., Proc. Natl. Acad. Sci. USA 85: 458-462 (1988), Chen et al., EMBO J 7: 297-302 (1988), Chen et al., Dev. Genet. 10: 112-122 (1989), Naito

et al., Plant Mol. Biol. 11: 683-695 (1988)], pea vicillin [Higgins et al., Plant Mol. Biol. 11: 109-123 (1988)], pea convicillin (Newbigin et al., Planta 180: 461 (1990)], pea legumin [Shirsat et al., Mol. Gen. Genetics 215: 326 (1989)], rapeseed napin [Radke et al., Theor. Appl. Genet. 75: 685-694 (1988)], as well as genes from monocotyledonous plants such as for maize 15-kd zein [Hoffman et al., EMBO J 6: 3213-3221 (1987)], barley  $\beta$ -hordein [Marris et al., Plant Mol. Biol. 10: 359-366 (1988)], and wheat glutenin [Colot et al., EMBO J 6: 3559-3564 (1987)]. Moreover, promoters of seed-specific genes operably linked to heterologous coding regions in chimeric gene constructions also maintain their temporal and spatial expression pattern in transgenic plants. Such examples include Arabidopsis thaliana 2S seed storage protein gene promoter to express enkephalin peptides in Arabidopsis and Brassica napus seeds [Vandekerckhove et al., Bio/Technology 7: 929-932 (1989)], bean lectin and bean  $\beta$ -phaseolin promoters to express luciferase [Riggs et al., Plant Sci. 63: 47-57 (1989)], and wheat glutenin promoters to express chloramphenicol acetyl transferase [Colot et al., EMBO J. 6: 3559-3564 (1987)]. Promoters highly expressed early in endosperm development are most effective in this application. Of particular interest is the promoter from the  $\alpha'$  subunit of the soybean  $\beta$ -conglycinin gene [Walling et al., Proc. Natl. Acad. Sci. USA 83: 2123-2127 (1986)] which is expressed early in seed development in the endosperm and the embryo.

The cell disruption gene used is selected from a group of genes encoding products that disrupt normal functioning of cells. There are many proteins that are toxic to cells when expressed in an unnatural situation. Examples include the genes for the restriction enzyme EcoRI [Barnes and Rine, Proc. Natl. Acad. Sci. USA 82:

1354-1358 (1985)], diphtheria toxin A [Yamaizumi et al., Cell 15: 245-250 (1987)], streptavidin [Sano and Cantor, Proc. Natl. Acad. Sci. USA 87: 142-146 (1990)], and barnase [Paddon and Hartley, Gene 53: 11-19 (1987)].

5 Most preferred for this system is the coding region of barnase which has been shown to be highly effective in disrupting the function of plant cells (EPA 89-344029).

A highly desirable seedless system is one in which fully fertile F1 seed develops, that can then be grown into plants that produce only seedless fruit. This system is economically favorable in that for each cross pollination, a large number of seedless fruits result: the number of F1 seed from one cross X the number of fruits produced on an F1 plant. Also incorporated in this scheme are the advantages of growing a hybrid crop, including the combining of more valuable traits and hybrid vigor. This is accomplished in the same manner as described above except that the lox/polyA-inactivated disruption gene is expressed from a seed coat-specific promoter. The seed coat is the outgrowth of the integuments, a strictly maternal tissue. Therefore the hybrid cross that brings the lox/polyA-inactivated disruption gene together with the cre gene does not involve this seed coat tissue. The seed coat of the F1 seed has either lox or cre, depending on which is used as the female parent, and thus F1 seed develop normally. After the F1 seed gives rise to a fruit-bearing F1 plant, all vegetative cells (including seed coat cells) inherit both lox and cre from the embryo. Thus the seed coat of the F1 plant has an activated cell disruption gene.

The seed coat is an essential tissue for seed development and viability. When the seed is fully matured, the seed coat serves as a protective layer to inner parts of the seed. During seed development, the

seed coat is a vital nutrient-importing tissue for the developing embryo. The seed is nutritionally "parasitic" to the mother plant. All raw materials necessary for seed growth must be imported. In seeds of 5 dicotyledonous plants, the vascular tissue enters the seed through the funiculus and then anastamoses in the seed coat tissue. There is no vascular tissue connection or plasmodesmata linkage between the seed coat and the embryo. Therefore, all nutrient solutes 10 delivered into the developing seed must be unloaded inside the seed coat and then move by diffusion to the embryo. Techniques have been developed to study the nutrient composition in the seed coat [Hsu et al., Plant Physiol. 75: 181 (1984); Thorne & Rainbird, Plant Physiol. 72: 268 (1983); Patrick, J. Plant Physiol. 115: 15 297 (1984); Wolswinkel & Ammerlaan, J. Exp. Bot. 36: 359 (1985)], and also the detailed cellular mechanisms of solute unloading [Offler & Patrick, Aust. J. Plant Physiol. 11: 79 (1984); Patrick, Physiol. Plant 78: 298 20 (1990)]. It is obvious that the destruction of this vital nutrient-funnelling tissue causes seed abortion.

EXAMPLES

SITE SPECIFIC RECOMBINATION IN PLANTS

Materials and Methods

25 Molecular Techniques

Methods of culturing bacteria, preparing DNA, and manipulating DNA were as described by Maniatis et al., Molecular Cloning: A Laboratory Manual [Cold Spring Harbor Laboratory, New York (1982)] unless stated 30 otherwise. Restriction enzymes and other enzymes used in DNA manipulations were obtained from New England Biolabs, Inc. (Beverly, MA, USA), Boehringer Mannheim (Indianapolis, IN, USA), or Bethesda Research Laboratory (Gaithersburg, MD, USA) and were used essentially 35 according to the manufacturer's specifications.

Isolation and analysis of plant RNA and DNA

Both RNA and DNA were extracted from the same leaf samples by combining methods for extraction of each. One to five grams of leaf tissue were frozen in liquid nitrogen and ground. Frozen tissue was added to 15 ml of extraction buffer [100 mM Trizma hydrochloride (Tris) pH 8.0, 50 mM EDTA pH 8.0, 100 mM NaCl, 1% sodium dodecyl sulfate (SDS), 200 µg/ml proteinase K] and heated at 65°C for 10 min. Five ml of 5 M potassium acetate was added, and the samples were placed on ice for 20 min. The samples were spun at 25K x g for 20 min and the supernatant was poured through cheesecloth into a tube containing 1 ml of 5 M sodium acetate and 10 ml of isopropanol. The tubes were left overnight at -20°C. The RNA/DNA was pelleted by centrifugation at 20K x g for 15 min. The pellets were resuspended in 10 mls of water and an equal volume of 4 M lithium chloride was added. The solutions were placed on ice for 1-2 hours, then centrifuged for 20 min at 20K x g. The supernatant was collected and an equal volume of isopropanol was added. After an overnight incubation at -20°C, the DNA was pelleted and resuspended in a solution of 10 mM Tris with 1 mM EDTA pH 8.0 (TE). The samples were extracted with an equal volume of Tris pH 8.0 buffered phenol and precipitated by adding 0.1 volume of 3 M sodium acetate and 2.5 volumes of ethanol. For Southern blot analysis the DNA was digested with a restriction enzyme and the resulting fragments were separated by gel electrophoresis, transferred to Zeta-Probe filters (Bio-Rad Laboratories, Richmond, CA, USA), and hybridized with nick translated probes.

The lithium chloride pellet was resuspended in one-half the original volume of water, an equal volume of lithium chloride was added, and the mixture was placed on ice for an additional hour. The RNA was pelleted by

centrifugation, resuspended in water, extracted with buffered phenol, and precipitated with 0.1 volume of 3 M sodium acetate and two volumes ethanol. For Northern blot analysis the RNA was separated by gel

5 electrophoresis in formaldehyde as described by Rave et al., Nucl. Acids Res. 6: 3559-3569 (1979), transferred to Zeta-Probe filters, and hybridized to nick translated probes.

Generation of transgenic plants

10 The cointegrate and binary Ti plasmids containing the chimeric *cre* gene and those containing *loxP* sites were introduced into tobacco by leaf disk transformation and into Arabidopsis by root transformation as described in Example 8. Standard aseptic techniques for the

15 manipulation of sterile media and axenic plant/bacterial cultures were followed, including the use of a laminar flow hood for all transfers. Recipes for media for tobacco are given in Table 2. Potted tobacco plants for leaf disk infections were grown in a growth chamber maintained for a 14 hr, 24°C day, 10 hr, 20°C night

20 cycle, with approximately 80% relative humidity, under mixed cool white fluorescent and incandescent lights. Tobacco leaf disk infections were carried out essentially by the method of Horsch et al., Science 227,

25 1229-1231 (1985), omitting nurse cultures.

Healthy young leaves, not fully expanded and approximately 3-5 inches in length, were harvested from approximately 4-6 week old tobacco plants (Nicotiana tabacum var. Xanthi). The leaves were surface

30 sterilized by immersion in a solution containing 10% commercial bleach and 0.1% sodium dodecyl sulfate (SDS). After 20 minutes of sterilization with intermittent mixing, the leaves were transferred successively three times to sterile deionized water to rinse the leaves

35 thoroughly, and then shaken gently to remove excess

water. Leaf disks, 8 mm in diameter, were prepared from whole leaves using a sterile paper punch.

5 Cultures of Agrobacterium cells containing the binary or cointegrate plasmids were grown in 5 ml of YEB or YEP broth (Table 1 and Table 8) with the appropriate antibiotics. Cultures were grown for approximately 17-20 hours in 18 mm glass culture tubes in a New Brunswick platform shaker maintained at 28°C. Leaf disks were inoculated by submerging them for several minutes in 20 ml of a 1:20 dilution of the overnight Agrobacterium culture.

10 After inoculation, the leaf disks were placed in petri dishes containing .1N1B agar medium (Table 2). The dishes were sealed with parafilm and incubated under 15 mixed fluorescent and "Gro and Sho" plant lights (General Electric) for 2-3 days in a culture room maintained at approximately 25°C.

15 To rid the leaf disks of Agrobacterium and to select for the growth of transformed tobacco cells, the leaf 20 disks were transferred to fresh .1N1B medium containing 500 mg/l cefotaxime and either 10-30 mg/l hygromycin, most preferably 30 mg/l hygromycin, 20-50 ppb chlorsulfuron, most preferably 25 ppb chlorsulfuron, or 25 100-300 mg/l kanamycin, most preferably 100 mg/l kanamycin. Cefotaxime was kept as a frozen 200 mg/ml stock solution and added aseptically (filter sterilized through a 0.45 µm filter) to the media after autoclaving. A fresh stock of hygromycin, chlorsulfuron, or kanamycin was made for each use and 30 was filter sterilized into the autoclaved media.

Leaf disks were incubated under the growth condition described above for 2-3 weeks and then transferred to fresh media of the same composition or to MX<sup>+</sup> with the appropriate antibiotics.

Approximately 3-4 weeks later, shoots developing on medium containing either hygromycin, chlorsulfuron, or kanamycin were excised with a sterile scalpel and planted in MX<sup>-</sup> medium (Table 2) containing 200-500 mg/l cefotaxime, most preferably 250 mg/l cefotaxime, in the presence or absence of 10-30 mg/l hygromycin, most preferably 30 mg/l hygromycin, or 20-30 ppb chlorsulfuron, most preferably 25 ppb chlorsulfuron. Root formation was recorded within 3 weeks.

10 Leaves were removed from the rooted excised shoots to determine levels of resistance to hygromycin, chlorsulfuron, or kanamycin in a callus induction assay on selective media. To induce callus formation, leaves were excised and leaf disks, 8 mm in diameter, were made  
15 using a sterile paper punch and plated on callus induction medium (Table 2) containing either 20-50 mg/l hygromycin, most preferably 30 mg/l hygromycin, 5-25 ppb chlorsulfuron, most preferably 25 ppb chlorsulfuron, or 50-100 mg/l kanamycin, most preferably 100 mg/l kanamycin. Callus growth on selective and non-selective media was recorded within 3 weeks.

TABLE 1  
AGROBACTERIUM GROWTH MEDIA

| 25 YEB MEDIUM                         |  | <u>Per Liter</u> |
|---------------------------------------|--|------------------|
| Bacto Beef Extract                    |  | 5.0 g            |
| Bacto Yeast Extract                   |  | 1.0 g            |
| Peptone                               |  | 5.0 g            |
| 30 Sucrose                            |  | 5.0 g            |
| MgSO <sub>4</sub> · 7H <sub>2</sub> O |  | 0.5 g            |
| Agar (optional)                       |  | 15.0 g           |
| pH 7.2                                |  |                  |

## MIN A with sucrose plates

|    |   | <u>Per Liter</u> |
|----|---|------------------|
|    | water   | 948 ml           |
|    | agar  | 15 g             |
| 5  | mix and autoclave                               |                  |
|    | min A salts:                                    | 40 ml            |
|    | 200 ml: K <sub>2</sub> HPO <sub>4</sub>         | 52.5 g           |
|    | KH <sub>2</sub> PO <sub>4</sub>                 | 22.5 g           |
|    | (NH <sub>4</sub> ) <sub>2</sub> SO <sub>4</sub> | 5 g              |
| 10 | Na Citrate 2H <sub>2</sub> O                    | 2.5 g            |
|    | 20% MgSO <sub>4</sub> 7H <sub>2</sub> O         | 1 ml             |
|    | 1% thiamine hydrochloride                       | 0.5 ml           |
|    | 20% sucrose                                     | 10 ml            |
| 15 |   |                  |

TABLE 2  
TOBACCO TISSUE CULTURE MEDIA

Callus Induction Medium

|    |   | <u>Per Liter</u> |
|----|---|------------------|
| 20 | Murashige's Minimal Organics Medium           | 1 package        |
|    | GIBCO #510-1118 (contains 3% sucrose)         |                  |
|    | 100X Vitamin Supplement:                      | 10 ml            |
|    | 10 mg/l thiamine                              |                  |
|    | 50 mg/l pyridoxine                            |                  |
| 25 | 50 mg/l nicotinic acid                        |                  |
|    | 1 mg/ml naphthaleneacetic acid<br>(NAA) stock | 1 ml             |
|    | 1 mg/ml 6-Benzylaminopurine (BAP) stock       | 0.2 ml           |
|    | agar  | 8.0 g            |
| 30 | pH 5.8  |                  |

Shoot Induction Medium (JN1B)

|  | <u>Per Liter</u> |
|--|------------------|
| Murashige's Minimal Organics Medium        | 1 package        |
| GIBCO #510-1118 (contains 3% sucrose)      |                  |
| 100X Vitamin Supplement:                   | 10 ml            |
| 10 mg/l thiamine                           |                  |
| 50 mg/l pyridoxine                         |                  |
| 50 mg/l nicotinic acid                     |                  |
| 1 mg/ml NAA (naphthaleneacetic acid) stock | 0.1 ml           |
| 1 mg/ml BAP stock                          | 1.0 ml           |
| agar                                       | 8.0 g            |
| pH 5.8                                     |                  |

Root Induction Medium (MX-1)

|                                       | <u>Per Liter</u> |
|---------------------------------------|------------------|
| Murashige's Minimal Organics Medium   | 1 package        |
| GIBCO #510-1118 (contains 3% sucrose) |                  |
| 100X Vitamin Supplement:              | 10 ml            |
| 10 mg/l thiamine                      |                  |
| 50 mg/l pyridoxine                    |                  |
| 50 mg/l nicotinic acid                |                  |
| agar                                  | 8.0 g            |
| pH 5.8                                |                  |

A. Construction of plasmids for integration  
and expression of the cre coding region

5

in plant cellsEXAMPLE 1

The starting material for construction of Cre/Hpt-A was the plasmid pK35CAT, which is described in Lin et al., Plant Physiology, 84: 856-861 (1987) and has been deposited in the ATCC and bears deposit accession number 68174. This plasmid contains a CaMV 35S promoter (35S/P) directing expression of the chloramphenicol

acetyltransferase (CAT) coding region and followed by a nopaline synthase (NOS) gene polyadenylation nucleotide sequence (NOS 3'). pK35CAT was derived from pK35K, which was in turn derived from pKNK. pKNK has been 5 deposited with the ATCC and bears the deposit accession number 67284. pKNK is a pBR322 based vector which contains a neomycin phosphotransferase II (NptII) promoter fragment, a nopaline synthase (NOS) promoter fragment, the coding region of NptII and the 10 polyadenylation region from the NOS gene. A map of this plasmid is shown in Lin et al., *Plant Physiol.* 84: 856-861 (1987). The 320 bp *Cla*I-*Bgl*II fragment in pKNK that contains the NptII promoter was obtained as a 15 *Hind*III-*Bgl*II fragment from the NptII gene of the transposon Tn5 described by Beck et al., *Gene* 19: 327-336 (1982). The *Hind*III site was converted to a *Cla*I site by linker addition. The NptII promoter fragment is followed by a 296 bp *Sau*3A-*Pst*I NOS promoter (NOS/P) fragment corresponding to nucleotides -263 to 20 +33, with respect to the transcription start site, of the NOS gene described by Depicker et al., *J. Appl. Genet.* 1: 561-574 (1982). The *Pst*I site at the 3' end was created at the translation initiation codon of the NOS gene. The NOS/P is followed by a 998 bp 25 *Hind*III-*Bam*HI sequence containing the NptII coding region obtained from the transposon Tn5 [Beck et al., *Gene* 19: 327-336 (1982)] by the creation of *Hind*III and *Bam*HI sites at nucleotides 1540 and 2518, respectively. The NptII coding region is then followed by a 702 bp 30 *Bam*HI-*Cla*I fragment containing the 3' end of the nopaline synthase gene including nucleotides 848 to 1550 [Depicker et al., *J. Appl. Genet.* 1: 561-574 (1982)]. The remainder of pKNK consists of pBR322 sequences from 29 to 4361.

pKNK was converted to pK35K by removing the NptII and NOS promoters and replacing them with a CaMV 35S promoter. The EcoRI-HindIII 35S promoter fragment is the same as that contained in pUC35K which has been deposited with the ATCC and bears the deposit accession number 67285. The 35S promoter fragment was prepared as follows, and as described in Odell et al., Nature 313: 810-813 (1985) except that the 3' end of the fragment includes CaMV sequences to +21 with respect to the transcription start site. A 1.15 kb BglII segment of the CaMV genome containing the region between -941 and +208 relative to the 35S transcription start site was cloned in the BamHI site of the plasmid pUC13. This plasmid was linearized at the SalI site in the polylinker located 3' to the CaMV fragment and the 3' end of the fragment was shortened by digestion with nuclease Bal31. Following the addition of HindIII linkers, the plasmid DNA was recircularized. From nucleotide sequence analysis of the isolated clones, a 3' deletion fragment was selected with the HindIII linker positioned at +21. To create pK35K this 35S promoter fragment was isolated as an EcoRI-HindIII fragment, the EcoRI site coming from the polylinker of pUC13, and ligated to pKNK that had been digested with EcoRI and HindIII, the EcoRI site lying 5' to the ClaI site in pBR322.

pK35K was converted to pK35CAT by dropping out the NptII coding region and replacing it with the coding region of chloramphenicol acetyl transferase (CAT) as mapped and described in Lin et al., Plant Physiol. 84: 856-861 (1987). The CAT coding region was obtained as a 975 bp Sau3A fragment from pBR325. The ends were filled in and the fragment was ligated into a filled in SalI site of pGEM2. A clone, pGCAT9, was selected that contains the insert oriented such that the HindIII and

BamHI sites of the polylinker are located 5' and 3' to the CAT coding region, respectively. The CAT coding region was isolated from this clone by HindIII and BamHI digestion, and ligated into HindIII and BamHI digested 5 pk35K. The resultant construction, termed pK35CAT, also contains the NOS 3' fragment which remains unaltered in the conversion of pKNK to pk35K, and finally to pk35CAT.

The entire cre gene was originally obtained from the genome of bacteriophage P1 on an EcoRI fragment as 10 described by Sternberg and Hamilton, J. Mol. Biol. 150: 467-486 (1981). The cre coding region was prepared as an XhoI-EcoRI fragment in plasmid pRH103Δ6 as described by Sternberg et al., J. Mol. Biol. 187: 197-212 (1986). The XhoI site was added as a linker following Bal31 15 deletion of the sequence 5' to the cre coding region, resulting in the placement of the XhoI site approximately 50 bp 5' to the translation initiation ATG. The EcoRI site was added as a linker following Bal31 deletion of the sequence 3' to the cre coding 20 region, resulting in the placement of the EcoRI site approximately 100 bp 3' to the translation stop codon. The 3' EcoRI site was then replaced with a SalI site generating pBS7 as described in Sauer, Mol. and Cell. Biol. 1: 2087-2096 (1987) so that the cre coding region 25 could be isolated as a XhoI-SalI fragment. This cre coding fragment is the same as that present in plasmid pBS39 which has been deposited with the ATCC and bears deposit accession number 53255. The XhoI-SalI cre coding region fragment was isolated, HindIII linkers 30 were added to the ends, and it was ligated with HindIII digested pK35CAT, generating pK35CreCAT. This plasmid contains a chimeric 35S/P-cre-CAT-NOS 3' gene.

To construct Cre/Hpt-A, pK35CAT was digested with BamHI, the end was partially filled with dGTP and dATP according to the method of Hung and Wensink, Nucl. Acids 35

Res. 12: 1863-1874 (1984) and then it was digested with HindIII to remove the CAT coding region. The HindIII-SalI DNA fragment containing the cre coding region was isolated from pK35CreCAT, whose construction is described in the previous paragraph, and the SalI site was partially filled with dCTP and dTTP during its preparation. This cre coding region fragment was then ligated into the prepared vector derived from pK35CAT creating the plasmid pK35Cre which contains a chimeric 35S promoter-cre coding region-NOS 3' gene.

EXAMPLE 2

Next a ClaI-SalI fragment containing a chimeric NOS/P-Hpt-NOS 3' gene (Hpt=hygromycin phosphotransferase) and the NptI gene (neomycin phosphotransferase I) was isolated from pAGS122 which is analogous to pAGS120 that is described in van den Elzen et al., Plant Molecular Biology, 5: 299-302 (1985). A SalI linker was added to the ClaI end of the fragment and it was ligated into SalI digested pK35Cre creating the plasmid Cre/Hpt-A which is shown in Figure 1A.

The boxes represent the chimeric 35S/P-cre-NOS 3' and NOS/P-Hpt-NOS 3' chimeric genes. The arrows represent the transcripts expressed by these chimeric genes. The NptI gene is derived from Tn903. These genes are incorporated in a pBR322 vector.

EXAMPLE 3

The starting material for construction of Cre/Hpt-B was the plasmid pDH51 that was described by Pietrzak et al., Nucleic Acids Research, 14: 5857-5868 (1986). This plasmid contains a CaMV 35S promoter including sequences between 6909 and 7437 of the CaMV genome and a CaMV polyadenylation nucleotide sequence including sequences between 7439 and 7632, separated by several restriction enzyme sites, including XbaI. The CaMV promoter fragment in pDH51 was prepared by adding an EcoRI linker

5' to the NcoI site at 6909 of the CaMV genome and a KpnI linker at the HphI site at 7437. The polyadenylation region fragment was prepared by adding an SphI linker at the HphI site at 7439 and a HindIII linker following KpnI, SstI, and EcoRI sites that had been added onto position 7632 during a cloning step in pUC18. Both of these fragments were cloned into pUC18 using the restriction sites located on their ends to generate pDH51. In the resulting plasmid, EcoRI sites 10 are located on either end outside of the CaMV promoter and 3' region. pDH51 was digested with XbaI and the ends were partially filled with dCTP and dTTP. The HindIII DNA fragment containing the cre coding region was isolated from pk35CreCAT and the ends were partially 15 filled with dATP and dGTP, then ligated into the prepared pDH51 vector. To identify a plasmid with the HindIII cre fragment in the proper orientation for expression, a SalI digest was done. The desired plasmid was digested with SalI since the SalI site at the 3' end 20 of the cre fragment is adjacent to the SalI site at the 5' end of the CaMV 3' region. A BamHI digest confirmed the correct orientation: a 430 bp fragment between the BamHI site at the 3' end of the 35S/P region and a BamHI site within the cre coding region was present. The 25 resulting pDH51Cre plasmid contains a chimeric 35S promoter-cre coding region-CaMV 3' gene.

EXAMPLE 4

Next the entire chimeric gene was cloned into pJJ2644, which is a binary vector for Agrobacterium tumefaciens transformation that carries a chimeric 1'/P-Hpt-NOS 3' gene, a tetracycline resistance gene, a broad host range origin of replication, and T-DNA borders. pJJ2644 has been deposited with the ATCC and bears deposit accession number 68178 and was constructed 35 as follows. The broad host range plasmid pRK290 that

was described by Ditta et al., Proc. Natl. Acad. Sci. USA 77: 7347-7351 (1980) served as the basic vector. This plasmid was cut with EcoRI, the ends filled, and it was ligated to an end site filled EcoRI-HindIII fragment 5 isolated from pAGS111 creating pJJ1881. The fragment from pAGS111 contains the left and right border fragments from the Agrobacterium tumefaciens T-DNA located on either side of a chimeric NptII gene and its construction is described in van den Elzen et al., Plant Molec. Biol. 5: 149-154 (1985). The ClaI-BamHI chimeric NptII gene fragment was replaced with the ClaI-BamHI 10 fragment from pBR322, thereby adding a HindIII site. To create pJJ2501 a HindIII-ClaI fragment was added that contains a chimeric 1'/P-Hpt-NOS 3' gene consisting of 15 the 1' promoter described by Velten et al., EMBO J. 12: 2723-2730 (1984), the Hpt coding region described by van den Elzen et al., Plant Molec. Biol., 5: 299-302 (1985), from which the ATG sequence located just 5' to the translation initiation ATG had been removed, and the 20 NOS 3' region. Next the XhoI site located outside of the T-DNA borders was deleted. Between the BamHI and HpaI sites, located 3' to the chimeric Hpt gene, a linker, including sites for BamHI, XbaI, HindIII, XhoI, EcoRI, and HpaI, was added creating pJJ2644.

25 This vector was digested with HindIII and ligated to the HindIII linker EcoRI fragment from pDH51Cre containing the chimeric cre gene. The resulting plasmid is Cre/Hpt-B which is shown in Figure 1B. The boxes represent the chimeric 35S/P-cre-CaMV 3' and 1'/P-Hpt- 30 NOS 3' genes. The T-DNA left and right borders are marked as filled boxes. The slashes indicate unrepresented sequences of the binary vector PJJ2644, which includes a tetracycline resistance gene (tet).

35 A plasmid used as a control is called -/Hpt-B and is the pJJ2644 vector with no chimeric cre gene added.

B. Construction of plasmids containing the lox site for integration and analysis in plant cells

EXAMPLE 5

5        The starting material for construction of loxP/NptII/Hra was the plasmid pBS69 containing two loxP sites which is described by Sauer and Henderson, Nucleic Acids Research, 17: 147-161 (1989). The loxP site was originally obtained from the bacteriophage P1 genome on

10      a BamHI fragment cloned in pBR322 as described by Abremski et al., Cell 32: 1301-1311 (1983). This reference also describes construction of an 80 bp EcoRI-HindIII fragment containing loxP made by adding an EcoRI linker to the BclI site and a HindIII linker to

15      the PvuII site, located on either side of loxP. A 50 bp BamHI-XhoI fragment containing loxP was made by deleting in from the BclI site with Bal31 and adding a BamHI linker 10 bp from loxP, and deleting in from the PvuII site and adding an XhoI linker 6 bp from loxP. The 80 bp EcoRI-HindIII fragment containing loxP was ligated between the EcoRI and HindIII sites in the pBR322 plasmid containing the 50 bp loxP fragment resulting in the plasmid pRH42 that has two loxP sites oriented in the same direction. A derivative of this plasmid called

20      pRH43 having the NptII gene from Tn5 between the loxP sites is also described. A derivative of pRH43 called pRH499 that has the Leu2 gene of yeast between the loxP sites is described and a map shown in Sauer, Mol. and Cell. Biol. 7: 2087-2096 (1987). As described in this reference, the HindIII site adjacent to the 80 bp lox site was deleted generating pBS30. pBS30 carries the same EcoRI-XhoI fragment containing two directly oriented loxP sites that is present in pBS44 which has been deposited with the ATCC and bears deposit accession

25      number 53254. As described in Sauer and Henderson

30

35

[Nucl. Acids Res. 17: 147-161 (1989)] pBS69 was generated from pBS30 by replacing the 80 bp HindIII-SalI fragment containing the loxP site with the 50 bp HindIII-XhoI fragment containing the loxP site. This was done to remove extra sequence in the 80 bp fragment that contained ATG translation initiation codons. Thus pBS69 has two directly oriented 50 bp loxP containing fragments surrounding a yeast Leu2 gene.

Part of the Leu2 gene was removed using the EcoRI site located within the Leu2 gene and the BamHI site adjacent to one loxP site, and replaced with a polyadenylation nucleotide sequence (polyA) derived from the tobacco Rubisco small subunit gene described by Mazur and Chui [Nucleic Acids Research, 13: 2373-2386 (1985)]. This reference describes the cloning and sequencing of this gene. The BamHI-XbaI fragment containing the sequence region between 1905 and 2289 was isolated, the XbaI site being filled in during its preparation. pBS69 was digested with EcoRI, the end filled in, then digested with BamHI. The ligation of these two DNAs resulted in the plasmid pBS69polyA.

#### EXAMPLE 6

Next an XhoI-HindIII fragment containing the loxP-polyA-loxP region was isolated and a HindIII linker was added to the XhoI end. This fragment was ligated into HindIII digested pKNK. The construction of pKNK was described in Example 1 and it contains the NOS promoter joined to the NptII coding region by a HindIII site resulting in a chimeric gene which confers kanamycin resistance to plant cells. The orientation of the loxP-polyA-loxP HindIII insert was determined by digestion with BamHI. The plasmid with the HindIII fragment inserted such that the polyA site has the same orientation as the NOS/P and the NptII coding region was

called pKNKloxA. It was anticipated that the polyadenylation nucleotide sequence located between the NOS/P and NptII coding region would block production of a viable NptII transcript thereby causing transformed 5 cells to retain their kanamycin sensitivity.

Next a PstI fragment that contains the Hra (sulfonylurea resistant acetolactate synthase) gene derived from pALS032BV that is described by Lee et al. [EMBO J. 7: 1241-1248 (1988)], and also contains a 10 HindIII fragment with a streptomycin/spectinomycin resistance gene derived from the R100.1 plasmid and described by Prentki and Krisch, Gene, 29: 303-313 (1984) was added. This fragment was constructed by adding SalI linkers to the HindIII ends of the isolated 15 strep/spec fragment and ligating it into the SalI site adjacent to the Hra gene in pALS032BV. The PstI fragment was then isolated, the ends filled in, and it was ligated into SalI digested and filled pKNKloxA. The resulting plasmid called loxP/NptII/Hra is shown in 20 Figure 1C.

In Figure 1C, the open boxes represent the chimeric NOS/P-NptII-NOS 3' gene that is interrupted between the promoter and coding region by a Rubisco small subunit gene polyadenylation region, shown as the stippled box, 25 which is surrounded by two loxP sites, represented by arrows showing that the loxP sites are in the same orientation. The asterisk marks the polyadenylation site. The plasmid includes the sulfonylurea-resistant ALS gene called Hra and the streptomycin and 30 spectinomycin resistance marker, incorporated in a pBR322 vector. The orientation of the PstI insert was not determined.

C. Transformation of tobacco with the  
cre coding region

The chimeric 35S/P-Cre-NOS 3' gene, described in Examples 1 and 2, was introduced into tobacco by 5 Agrobacterium tumefaciens infection of tobacco leaf disks. Primary transformants were analyzed to demonstrate the presence of the cre coding region and expression of the cre mRNA in tobacco cells as well as expression of the linked Hpt gene which confers 10 hygromycin resistance.

The plasmid Cre/Hpt-A was transferred into 15 A. tumefaciens by a method involving a three-way mating that was essentially as described by Fraley et al. [Proc. Natl. Acad. Sci. USA, 80: 4803-4807 (1983)] except for the following points. Cre/Hpt-A was mated into Agrobacterium strain GV3850 that was described by 20 Zambryski et al. [J. of Mol. and Appl. Genetics, 1: 361-370 (1982)]. Colonies from the Cre/Hpt-A mating were selected on LB plates containing 100 µg/ml rifampicin and 25 µg/ml kanamycin. Selected colonies were confirmed as cointegrates of the Cre/Hpt-A plasmid into the Ti plasmid by Southern blot analyses.

Standard aseptic techniques for the manipulation of sterile media and axenic plant/bacterial cultures were 25 followed, including the use of a laminar flow hood for all transfers. Potted tobacco plants for leaf disk infections were grown in a growth chamber maintained for a 14 hr, 24°C day, 10 hr, 20°C night cycle, with approximately 80% relative humidity, under mixed cool 30 white fluorescent and incandescent lights. Tobacco leaf disk infection was carried out essentially by the method of Horsch et al. [Science 227, 1229-1231 (1985)], omitting nurse cultures, as described below.

Healthy young tobacco leaves were harvested, surface 35 sterilized, and rinsed as described in Materials and

Methods. Leaf disks, 8 mm in diameter, were prepared from whole leaves using a sterile paper punch.

Leaf disks were inoculated by submerging them for several minutes in 20 ml of a 1:20 dilution of the 5 overnight culture of Agrobacterium harboring the cointegrate Cre/Hpt-A Ti plasmid. The culture was started by inoculating 5 mls of YEB broth (Table 1) with a single bacterial colony. The culture was grown for approximately 17-20 hours in 18 mm glass culture tubes 10 in a New Brunswick platform shaker maintained at 28°C.

After inoculation, the leaf disks were placed on 1N1B agar medium (Table 2) in petri dishes which were then sealed with parafilm. The petri dishes were incubated under mixed fluorescent and "Gro and Sho" 15 plant lights (General Electric) for 2-3 days in a culture room maintained at approximately 25°C.

To rid the leaf disks of Agrobacterium and to select for the growth of transformed tobacco cells, the leaf disks were transferred to fresh 1N1B medium containing 20 500 mg/L cefotaxime and 10-20 mg/l hygromycin.

Cefotaxime was kept as a frozen 200 mg/ml stock solution and added aseptically (filter sterilized through a 0.45 µm filter) to the media after autoclaving. A fresh hygromycin stock (20 mg/ml) was made for each use and 25 was filter sterilized into the autoclaved media. Leaf disks were incubated under the growth conditions described above for 3 weeks and then transferred to fresh media of the same composition.

Approximately 1 month later, shoots developing on 30 hygromycin-containing medium were excised with a sterile scalpel and planted in MX<sup>-</sup> medium containing 200 mg/L cefotaxime and 10 mg/L hygromycin. Root formation was recorded within 3 weeks.

Leaves were removed from the rooted excised shoots 35 to determine levels of resistance to hygromycin in a

callus induction assay on selective media. To induce callus formation, leaves were excised and leaf disks, 8 mm in diameter, were made using a sterile paper punch and planted on callus induction medium containing 20 and 5 50 mg/l hygromycin. Callus growth on selective and non-selective media was recorded within 3 weeks.

The results shown in Table 3 indicate that transformation of tobacco had been achieved with *Agrobacterium* harboring the Cre/Hpt-A Ti plasmid, based 10 on production of hygromycin resistant callus. All ten transformants tested were resistant to hygromycin. Primary transformants were analyzed by molecular 15 techniques to verify the presence of the *cre* mRNA sequences. Seven independent tobacco plants transformed with Cre/Hpt-A were assayed for expression of the chimeric *cre* gene by Northern blots as described in Materials and Methods. The probe used to hybridize to the filter containing the RNA prepared from each plant was a BamHI-ClaI DNA fragment that was isolated from the 20 pK35K or pKNK plasmid, which are both described in Example 1. This fragment contains the NOS polyadenylation nucleotide sequence which includes a region of untranslated transcribed sequence. Since both the 35S/P-Cre-NOS 3' and NOS/P-Hpt-NOS 3' genes have 25 homology to this probe, the transcript from each gene is detected in this experiment. The expected 2.0 kb transcript from the *cre* gene and the expected 1.5 kb transcript from the Hpt gene were detected on the Northern filter. All of the plants assayed, except for 30 one, produced a detectable level of Cre transcript indicating the presence and expression of the chimeric *cre* gene in the plant cells.

Plants exhibiting hygromycin resistance were transferred to soil and grown to maturity in a growth 35 chamber as described above. Individual inflorescences

were covered with bags to permit self-fertilization without cross-pollination. Mature seeds were harvested and progeny tests were conducted to determine the inheritance of the introduced Cre/Hpt DNA. Inheritance 5 was monitored by following the hygromycin resistance trait.

Seed was surface sterilized for 30 minutes in 10% commercial bleach and 1% SDS with intermittent mixing, rinsed 3-5 times with sterile deionized water, dried, 10 and planted on MX<sup>-</sup> medium in the presence or absence of 50 mg/l hygromycin. Sensitive seeds germinated, but did not develop further. A segregation ratio of 3 resistant progeny to 1 sensitive indicated the presence of a single site of integration of the hygromycin resistance 15 gene into the genome of the transformant, which was then stably inherited by its progeny. This was seen in seven out of eight independent transformants tested. The eighth transformant exhibited a ratio which was greater than 3:1, indicating the presence of more than one 20 integration site.

TABLE 3  
Callus Growth on Hygromycin

| ID | WEIGHT IN GRAMS          |         |         |
|----|--------------------------|---------|---------|
|    | Hygromycin Concentration |         |         |
|    | 0 mg/l                   | 20 mg/l | 50 mg/l |
|    |                          |         |         |
|    | U1                       | 9.02    | 4.55    |
| 5  | U2a                      | 8.88    | 2.34    |
|    | U2b                      | 7.72    | 3.77    |
|    | U2c                      | 3.69    | 1.32    |
|    | C1= U3a <sup>1</sup>     | 4.43    | 2.42    |
|    | C2= U3b <sup>1</sup>     | 8.32    | 3.63    |
| 10 | U4a <sup>1</sup>         | 6.21    | 2.52    |
|    | C3= U4b                  | 5.80    | 2.94    |
|    | U5                       | 4.23    | 3.28    |
|    | U6a <sup>1</sup>         | 5.79    | 2.16    |
|    | C4= U6b                  | 5.52    | 2.40    |
| 15 | U6c                      | 11.37   | 2.56    |
|    | C5= U7a                  | 8.41    | 2.78    |
|    | C6= U7b                  | 5.22    | 4.66    |
|    | U7c                      | 8.52    | 3.38    |
|    | U8                       | 4.38    | 5.15    |
| 20 | WT                       | 3.55    | 0.68    |
|    |                          |         | 0.36    |

<sup>1</sup> Weight in grams is the average of results from two callus induction assays done with the same transformant.

25           D. Production of plant cells and plants with two loxP sites integrated into the genome  
 The loxP-polyA-loxP DNA sequence described in Examples 5 and 6 was introduced into tobacco by Agrobacterium tumefaciens infection of tobacco leaf disks as described in Materials and Methods. Primary transformants were analyzed to demonstrate the presence of the loxP-polyA DNA sequence in the tobacco cells as

well as expression of the linked *Hra* gene which confers resistance to chlorsulfuron.

The plasmid *loxP/NptII/Hra* was transferred into *A. tumefaciens* by a method involving a three-way mating 5 that was essentially as described by Fraley et al. [Proc. Natl. Acad. Sci. USA, 80, 4803-4807 (1983)] except for the following points. *LoxP/NptII/Hra* was mated into *Agrobacterium* strain GV3850 that was described by Zambryski et al. [J. of Mol. and Appl. 10 Genetics, 1: 361-370 (1982)]. Colonies from the *loxP/NptII/Hra* mating were selected on 100 µg/ml rifampicin and 100 µg/ml each of spectinomycin and streptomycin. Selected colonies were confirmed as cointegrates of the *loxP/NptII/Hra* plasmid into the Ti 15 plasmid by Southern blot analyses.

Tobacco leaf disks were obtained, inoculated with *A. tumefaciens* harboring the cointegrate *loxP/NptII/Hra* plasmid, and incubated on .1N1B as described in Materials and Methods.

20 To rid the leaf disks of *Agrobacterium* and to select for the growth of transformed tobacco cells, the leaf disks were transferred to fresh .1N1B medium containing 500 mg/l cefotaxime and 20-200 ppb chlorsulfuron. Cefotaxime was kept as a frozen 200 mg/ml stock solution 25 and added aseptically (filter sterilized through a 0.45 µm filter) to the media after autoclaving. A fresh chlorsulfuron stock was prepared for each use by first making a 0.2 mg/ml solution in 0.01 N NH<sub>4</sub>OH, which was then diluted 1:10 with deionized water, and filter 30 sterilized into the autoclaved media. Leaf disks were incubated under the growth conditions described above for 3 weeks and then transferred to fresh media of the same composition.

35 Approximately 1 month later, shoots developing on medium containing 20-50 ppb chlorsulfuron were excised

with a sterile scalpel and planted in MX<sup>-</sup> medium containing 200 mg/l cefotaxime and 20 mg/l chlorsulfuron. Root formation was recorded within 3 weeks.

5 Leaves were removed from the rooted excised shoots to determine levels of resistance to chlorsulfuron and kanamycin in a callus induction assay on selective media. To induce callus formation, leaves were excised and leaf disks, 8 mm in diameter, were made using a 10 sterile paper punch and planted on callus induction medium containing 5, 10, or 20 ppb chlorsulfuron and on callus induction medium containing 100 mg/l kanamycin. Callus growth on selective and non-selective media was recorded at 3 weeks. Twenty-one independent 15 transformants tested were resistant to chlorsulfuron. All but one retained sensitivity to kanamycin.

The results shown in Table 4 indicate that transformation of tobacco had been achieved with the *Agrobacterium* harboring the *loxP/NptII/Hra* Ti plasmid 20 based on production of chlorsulfuron resistant callus. Since the cells generally remain kanamycin sensitive, these data also suggest that no viable transcript containing the NptII sequence is produced. Nine independent tobacco plants transformed with 25 *loxP/NptII/Hra* were assayed for the presence of the *loxP*-polyA DNA region by Southern blots as described in Materials and Methods. The probe used to hybridize to the filter containing BamHI digested DNA prepared from each plant was a *Hind*III-BamHI DNA fragment that was 30 isolated from the *pK35K* plasmid. This fragment contains the coding region for NptII and is shown in the diagram in Figure 2B. In DNA of each of the nine *loxP* plants assayed the 2.4 kb BamHI fragment containing the polyadenylation nucleotide sequence and a *loxP* site, as 35 diagrammed in Figure 2B, was detected as shown in Figure

2C lanes 1 and 6. None of the loxP plants had the 5.7 kb fragment shown in Figure 2B indicating that no excision could be detected in these primary transformants.

5 In Figure 2B, distances between the BamHI sites and between the loxP sites in the original construction are shown above. Below is a map of the expected configuration following recombination between loxP sites, with the loss of a BamHI site and resulting 10 change in distance between remaining BamHI sites shown. The 2.4 kb and 5.7 kb fragments marked in bold are those detected by the probe shown as a checkered box.

15 Plants exhibiting chlorsulfuron resistance were transferred to soil and grown to maturity in a growth chamber as described above. Individual inflorescences were covered with bags to permit self-fertilization without cross-pollination. Mature seeds were harvested and progeny tests were conducted to determine the inheritance of the inserted DNA fragments. Inheritance 20 was monitored by following the linked chlorsulfuron resistance trait.

25 Seed was surface sterilized for 30 minutes as described above, dried, and planted on MX<sup>-</sup> medium in the presence or absence of chlorsulfuron or kanamycin. Kanamycin was used to assay for the stability of the inactivated NptII gene. Sensitive seeds germinated, but did not develop further. A segregation ratio of 3 chlorsulfuron resistant progeny to 1 sensitive indicated the presence of a single site of integration of the Hra 30 gene in the genome of the transformant, which was then stably inherited by its progeny. This was seen in 6 out of 20 independent transformants tested. Higher ratios of resistant to sensitive progeny, exhibited by 14 out of 20 of the transformants, indicated insertions at 35 multiple positions in the genome. For example, a 15/1

ratio indicates the presence of insertions at two unlinked loci and a 255/1 ratio indicates insertions at four unlinked loci in the transformants.

5

**TABLE 4**  
**Callus Growth on Chlorsulfuron and Kanamycin**

| ID | No       | WEIGHT IN GRAMS         |                    |
|----|----------|-------------------------|--------------------|
|    |          | Selection Chlorsulfuron | 100 mg/l Kanamycin |
| 10 | Q1       | 6.99                    | 4.93 0.31          |
|    | L1 = Q3  | 8.19                    | 3.54 0.48          |
|    | L2 = Q8  | 4.18                    | 2.41 0.28          |
|    | Q9       | 2.58                    | 5.78 0.32          |
|    | L3 = Q10 | 6.09                    | 6.04 0.42          |
| 15 | Q11      | 9.87                    | 10.19 0.40         |
|    | Q12      | 3.60                    | 4.13 0.31          |
|    | Q14      | 7.57                    | 3.15 0.36          |
|    | L4 = Q15 | 4.69                    | 13.98 0.27         |
|    | Q16      | 3.98                    | 4.92 0.36          |
| 20 | L5 = Q17 | 4.92                    | 8.12 0.38          |
|    | Q23      | 5.75                    | 4.94 0.33          |
|    | Q25      | 8.32                    | 8.75 0.31          |
|    | Q26      | 5.30                    | 11.60 1.10         |
|    | Q27      | 5.33                    | 7.38 0.31          |
| 25 | L6 = Q29 | 13.28                   | 4.86 0.41          |
|    | Q30      | 6.48                    | 6.52 0.35          |
|    | Q31      | 7.66                    | 12.35 0.31         |
|    | Q32      | 14.41                   | 7.62 0.37          |
|    | WT       | 15.48                   | 0.50 0.90          |

30

**E. Re-transformation of *loxP* plants with  
the *cre* coding region**

The binary vector plasmid Cre/Hpt-B, described in Example 4, was introduced into transgenic tobacco plants, having two *loxP* sites already integrated in the

35

genome, by *A. tumefaciens* infection of tobacco leaf disks from *loxP* primary transformants. Re-transformed plants were analyzed to demonstrate site-specific recombination at the *loxP* sites.

5 The procedures described in Materials and Methods were followed, except healthy leaves were harvested from transgenic *loxP* tobacco plants growing in Magenta GA7 vessels (Magenta Corp., Chicago, IL, USA). These plants were produced as in Section D. Leaf disks, 8 mm in  
10 diameter, were prepared from these axenic leaves using a sterile paper punch. To select for the growth of transformed tobacco cells and to rid the leaf disks of *Agrobacterium*, a group of leaf disks were transferred to fresh .1N1B medium containing 10-20 mg/L hygromycin and  
15 500 mg/l cefotaxime. To select for site-specific recombination at the *loxP* sites in transformed tobacco cells and to rid the leaf disks of *Agrobacterium*, another group of leaf disks were transferred to fresh .1N1B medium containing 100 mg/l kanamycin and 500 mg/l cefotaxime.  
20 Cefotaxime was kept as a frozen 200 mg/ml stock solution and added aseptically (filter sterilized through a 0.45  $\mu$ m filter) to the media after autoclaving. Fresh hygromycin stock (20 mg/ml) and kanamycin stock (50 mg/l) was made for each use and was  
25 filter sterilized into the autoclaved media. Leaf disks were incubated under the growth condition described above for 3 weeks and then transferred to fresh media of the same composition.

30 Approximately 1 month later, shoots developing on hygromycin-containing medium were excised with a sterile scalpel and planted in MX<sup>-</sup> medium containing 200 mg/l cefotaxime and 10 mg/l hygromycin in Magenta GA7 vessels. Shoots developing on kanamycin-containing medium were excised with a sterile scalpel and planted

in MX<sup>-</sup> medium containing 200 mg/l cefotaxime and 100 mg/l kanamycin in Magenta GA7 vessels.

Leaves were removed from the rooted excised shoots to determine levels of resistance to hygromycin and 5 kanamycin in a callus induction assay on selective media. To induce callus formation, leaves were excised and leaf disks, 8 mm in diameter, were made using a sterile paper punch and planted on callus induction medium containing 30 mg/l hygromycin and on 50 mg/l 10 kanamycin. Callus growth was recorded at 3 weeks.

The results shown in Figure 2A indicate that Cre-mediated site-specific recombination of the *loxP* sites in the tobacco genome had been achieved following re-transformation of the *loxP* plants with the 15 Agrobacterium harboring the Cre/Hpt-B plasmid, based on production of kanamycin resistant callus. Recombination resulting in excision of the polyA site located between the NOS/P and NptII coding region, allowed production of a viable NptII transcript conferring kanamycin 20 resistance to the cells. Only leaf disks from *loxP* plants re-transformed with the Cre/Hpt-B vector formed callus on medium containing kanamycin. Plants from the transformation of wild type tobacco, with either -/Hpt-B or with Cre/Hpt-B, were all kanamycin sensitive. Three 25 *loxP* re-transformants from the -/Hpt-B inoculation were also kanamycin sensitive.

In Figure 2A, each bar represents the total weight of five leaf disks grown on callus induction medium (Table 2) containing 50 mg/l kanamycin. Weight includes 30 that of the original leaf disks, which account for weights up to 0.4 grams. Disks were taken from hygromycin selected plants resulting from re-transformation of *loxP* plants: L1 and L2 or untransformed tobacco plants: WT, with either the *cre* 35 gene: Cre/Hpt-B vector (i.e., L1\*C/H or L2\*C/H), or

without the cre gene: -/Hpt-B vector (i.e., L1\*-/H or L2\*-/H). All plants exhibited growth on hygromycin.

Plants resulting from re-transformation of loxP plant tissue with Cre/Hpt-B were analyzed by Southern blots to detect recombination. The same NptII fragment probe described in Section D was hybridized to filters containing BamHI digested DNA isolated from re-transformants. Of the five loxP\*Cre re-transformant plant DNAs analyzed, one retained the 2.4 kb fragment detected in loxP primary transformants and in the other four a new 5.7 kb fragment was detected, as shown in Figure 2C lanes 4, 8, 9 and 10. In this figure, lanes contain approximately 10 µg of BamHI digested DNA from the same plants described in (A), in the same order, except that lanes 1 and 6 contain additional samples from the original L1 and L2 plants, respectively. Positions of the 2.4 kb and 5.7 kb bands detected with the NptII probe, as described in (b), are marked. The absence of the 2.4 kb fragment and the presence of the 5.7 kb fragment in these re-transformants indicated that recombination had occurred between the two loxP sites as diagrammed in Figure 2B: excision results in the loss of a BamHI site located between the two loxP sites so that the distance between adjacent BamHI sites is increased. The one loxP\*Cre re-transformant that retained the 2.4 kb fragment, as shown in Figure 2C lane 2, also retained sensitivity to kanamycin, as shown in Figure 2A, demonstrating consistency between the Southern blot analysis and the phenotypic response. DNAs from two control loxP plants re-transformed with -/Hpt contained the 2.4 kb unrecombined fragment as shown in Figure 2C lanes 3 and 7, indicating that recombination is dependent on the presence of Cre. The wild type control plant showed no hybridization to the probe as shown in Figure 2C lane 5.

F. Genetic crosses of heterozygous  
loxP and Cre plants

A method utilized to produce excisional

5 recombination was to genetically unite the Cre recombinase with the loxP-polyA-loxP DNA sequence, which is integrated in the plant genome, by sexual hybridization of loxP and Cre plants. In this example, primary transformants obtained by tobacco leaf disk  
10 transformation, as described in Sections C and D, were utilized.

Primary transformants were transferred to soil and grown in a growth chamber maintained for a 14 hr, 24°C day, 10 hr, 20°C night cycle, with approximately 80%

15 relative humidity, under mixed cool white fluorescent and incandescent lights. Plants were grown to maturity and hand pollinations were performed using a slight modification of the procedure by Wernsman, E. A. and D. F. Matzinger [Hybridization of Crop Plants W. R. Fehr  
20 and H. H. Hadley, eds, pp 657-668 (1980)]. Briefly, flowers from Cre plants were selected on the day before anthesis; the corolla was split longitudinally, the anthers were removed, and the stigma was pollinated with pollen from flowers from loxP plants that were allowed

25 to anthesis either on the plant or overnight in a beaker of water. To prevent contaminating pollen from reaching the stigma, a 4 cm length of a cocktail stirrer, one end plugged with modelling clay, was slipped over the stigma and style and held in place by the corolla. Each flower  
30 was tagged. Capsules were allowed to grow to maturity and then harvested.

The four loxP plants used in the crosses between heterozygous parents had segregation ratios that suggest the presence of three or more independent loci. All of  
35 the Cre plants used had segregation ratios indicating

insertion of the cre gene at only one genetic locus. Since both the loxP and Cre parents were heterozygous, the seed produced from hand pollinations of loxP pollen onto the stigmas of emasculated flowers from Cre plants 5 could carry none, both, or either one of the foreign DNA insertions. Therefore, seeds resulting from cross pollinations were screened for the presence of the two marker genes and then assayed for kanamycin resistance: a manifestation of site-specific recombination. To 10 identify only those progeny from cross pollinations that carried both markers 100 to 150 seed from each cross were first screened on chlorsulfuron in a germination assay. Then, shoot cuttings of seedlings resistant to chlorsulfuron were tested for root formation in medium 15 containing hygromycin. Seeds from self-crossed Cre and loxP plants were used as controls at each step. Cre x Cre seed produced only bleached seedlings on chlorsulfuron, indicating herbicide sensitivity. None of the loxP x loxP seedlings rooted on hygromycin. 20 Selected seedlings that were resistant to both compounds, along with controls, were tested for kanamycin resistance using a callus growth assay. A total of 83 out of 90 seedlings (92%) from 8 crosses involving six different Cre and four different loxP parents, were found to be resistant to kanamycin. 25 Table 5 shows the number of seedlings that were kanamycin resistant for each cross. In six of these crosses all of the plants tested were kanamycin resistant (53/53). Progeny from two crosses yielded about 80% kanamycin resistant progeny (20/24 and 10/13). All of the 63 seedlings tested from self-crosses of the 30 loxP and Cre plants were sensitive to kanamycin.

Table 5  
 Number of Kanamycin Resistant Progeny  
 from Crosses Between Heterozygous Cre and *loxP* Parents

| FEMALE          | MALE             |       |       |       |
|-----------------|------------------|-------|-------|-------|
|                 | L3 <sup>a</sup>  | L4    | L5    | L6    |
| self            | 0/5              | 0/5   | 0/5   |       |
| C1 <sup>b</sup> | 0/9 <sup>c</sup> | 13/13 |       |       |
| C2              | 0/9              |       | 5/5   |       |
| C3              | 0/7              | 2/2   | 4/4   | 16/16 |
| C4              | 0/9              |       | 20/24 |       |
| C5              | 0/5              | 13/13 |       |       |
| C6              |                  |       | 10/13 |       |

<sup>a</sup> L3 - L6 are independent heterozygous *loxP* transformants used as the pollen parent.

<sup>b</sup> C1 - C6 are different heterozygous Cre transformants used as the female parent.

<sup>c</sup> Number of progeny exhibiting kanamycin resistance/number of progeny tested. Resistance was defined as  $\geq 0.5$  grams callus growth in at least 2 of the 3 leaves tested. Disks were taken from leaves from the distal, middle and proximal portions of the plant to assay for resistance throughout the plant.

Kanamycin resistant plants resulting from genetic crosses of heterozygous *loxP* plants and Cre plants were assayed by Southern blots to detect recombination. The same NptII fragment probe described in Section D was hybridized to filters containing BamHI digested DNA isolated from progeny of *loxP* and Cre plants. Of the six progeny DNAs analyzed that were derived from four different crosses involving two *loxP* plants and four Cre

plants, all contained the 5.7 kb fragment and not the 2.4 kb fragment. DNA of progeny that were controls resulting from selfing of loxP plants retain the 2.4 kb fragment demonstrating that Cre is required for

- 5 recombination. Another probe was used to verify that the DNA located between the two loxP sites was excised in the progeny of crosses. The BamHI-XbaI fragment containing the polyadenylation nucleotide sequence region of a tobacco Rubisco small subunit gene, that is
- 10 described in Example 5 and diagrammed in Figure 1C, was labeled and hybridized to the same filters, after washing to remove the first probe. The DNA of progeny of selfed loxP plants contained the 2.4 kb fragment indicating the presence of the polyA sequence while the
- 15 DNAs of progeny of Cre and loxP plant crosses showed no hybridization to this probe confirming that excision had occurred.

Therefore, both the phenotypic and molecular evidence indicate that Cre mediated site-specific recombination has occurred in these hybrid tobacco seedlings, and the phenotypic data suggests that recombination has occurred in 80% to 100% of the progeny.

25 G. Site-specific recombination in plant cells following genetic crosses of homozygous  
loxP and Cre plants

A method utilized to produce excisional recombination was to genetically unite the Cre recombinase with the loxP-polyA-loxP DNA fragment, which is integrated in the plant genome, by sexual hybridization of homozygous loxP and Cre plants. Cross pollination using homozygous parents insures the presence of both loxP and cre DNA insertions in all progeny. Plants homozygous for the marker gene linked

to loxP-polyA-loxP and plants homozygous for the marker gene linked to cre were identified and utilized to produce site-specific recombination between two loxP sites.

5 Nine transgenic tobacco plants which had the Hpt marker gene and cre integrated at a single locus, as measured by a 3:1 segregation of the hygromycin resistance in a seed germination assay, were chosen for further crosses. R1 seeds were planted on MX<sup>-</sup> medium

10 containing 20-50 mg/l hygromycin to select for plants containing the transferred hygromycin resistance gene. Seedlings which were able to develop on the hygromycin-containing medium were transferred to soil and allowed to grow to maturity in the growth chamber maintained for

15 a 14 hr, 24°C day, 10 hr, 20°C night cycle, with approximately 80% relative humidity, under mixed cool white fluorescent and incandescent lights. Bags were placed on individual inflorescences to permit self-fertilization. Seeds (R2) of several plants (R1)

20 derived from individual transformants (R0) were collected and subjected to segregation analysis by plating on MX<sup>-</sup> medium containing 50 mg/l hygromycin. R1 plants which were heterozygous would be expected to produce hygromycin resistant progeny with a ratio of

25 3:1. On the other hand, R1 plants which were homozygous would yield 100% hygromycin resistant progeny after self-fertilization. Using this procedure, homozygous seed stocks of each of the chosen transformants were identified.

30 Five transgenic tobacco plants which had the resistant ALS marker gene and loxP-polyA-loxP integrated at a single locus, as measured by a 3:1 segregation of chlorsulfuron resistance in a seed germination assay, were chosen for further crosses. R1 seed were planted

35 on MX<sup>-</sup> medium containing 100-300 mg/l chlorsulfuron to

select for plants containing the transferred chlorsulfuron resistance genes. Seedlings which were able to develop on the chlorsulfuron-containing medium were transferred to soil and allowed to grow to maturity. 5 in the growth chamber under conditions described above. As above, bags were placed on individual inflorescences to permit self-fertilization. Seeds (R2) of several plants (R1) derived from individual transformants (R0) were collected and subjected to segregation analysis by 10 plating on MX<sup>-</sup> medium containing 300 mg/l chlorsulfuron. R1 plants which were heterozygous would be expected to produce chlorsulfuron resistant progeny with a ratio of 3:1. On the other hand, R1 plants which were homozygous would yield 100% chlorsulfuron resistant progeny after 15 self-fertilization. Using this procedure, homozygous seed stocks of each of the chosen transformants were identified.

Pollen from one homozygous Cre plant, as well as from one WT plant, was used to pollinate three 20 homozygous loxP plants, each derived from an independent primary transformants. To ensure that the hybrid seeds carried both markers, seeds from loxP x Cre crosses were germinated on chlorsulfuron and hygromycin, separately and jointly. All of the germinated seedlings bore true 25 leaves and roots, indicating 100% resistance to both selections. As expected, the progeny of a loxP x WT cross were all chlorsulfuron resistant but hygromycin sensitive.

Hybrid loxP x Cre seeds from the same stocks tested 30 above were germinated and grown on MX<sup>-</sup> medium (Table 2). Tissue from the first through third leaves was tested for growth on kanamycin in a callus induction assay at 25 days after imbibition, and tissue from the fourth through sixth leaves was tested at 40 days after 35 imbibition. The total weight of the leaf disks after

three weeks of callus growth is shown in Figure 3. All seven loxP x WT control progeny tested were sensitive to kanamycin. Most of the 28 progeny from the homozygous loxP x Cre crosses were kanamycin resistant. In two 5 crosses 100% of the progeny were kanamycin resistant in at least 5 of 6 leaves tested (8/8 and 4/4, respectively). Fourteen out of 16 progeny in another cross were resistant to kanamycin in at least four out of six leaves tested. The two plants which appeared to 10 be kanamycin sensitive produced more callus than did the controls, but did not show the extent of callus growth associated with resistance (Figure 3).

In Figure 3, C7, L7 and L8 are single locus homozygous plants that were derived from C3, L1 and L2 15 primary transformants, respectively. L9 is a homozygous loxP plant derived from a primary transformant not used in previous experiments. Each bar represents the weight of 4 leaf disks from an individual offspring, one from each of the first two leaves and two disks from the third leaf, after incubation on callus induction medium (Table 2) for three weeks. About 0.2 grams is contributed by the original leaf disks. The asterisks mark two progeny that exhibit kanamycin sensitivity.

To determine the number of hybrid progeny in which 20 site-specific recombination had occurred, the first, second, and third leaves from 94 seedlings from one cross were tested for kanamycin resistance. Seventy one out of the 94 seedlings were kanamycin resistant in all three leaves tested, indicating that recombination had occurred early in development in 75% of the progeny. 25 However, recombination seems also to have occurred later in development, in that 90% of the seedlings (85/94) exhibited resistance in the third leaf. To assess the incidence of spontaneous kanamycin resistance, 14 self crossed loxP and 13 self crossed Cre seedlings and 16 30 35

loxP x WT progeny were tested in a callus induction assay; none were resistant. This reconfirms that the loxP construction is stable through meiosis, even when the plant carrying it is sexually hybridized.

5

H. Deletion of a sulfonylurea-resistant acetolactate synthase (ALS) marker from transgenic tobacco using the loxP-cre system

EXAMPLE 7

10 A sulfonylurea (SU) resistance marker gene was eliminated from transgenic tobacco plants, leaving the chimeric 35S/P-GUS (GUS- $\beta$ -glucuronidase) gene in the genome. A plasmid was constructed containing a SU-resistant ALS gene located between directly oriented loxP sites. The vector pTZ19R (Pharmacia, Inc., Piscataway, New Jersey) was digested with HindIII and a synthetic oligonucleotide linker with a nonfunctional HindIII end and XhoI, SalI, HindIII and Asp718 sites was added. This plasmid was digested with HindIII and 15 ligated with the HindIII fragment from pKNCloxA, described in Example 6 that has directly oriented loxP sites on either end, creating pTZlox2. The resulting plasmid was digested with XbaI, this site being located between the loxP sites, and an XbaI fragment containing 20 a chimeric SU-resistant ALS gene was added. This chimeric SU-resistant ALS gene is present as an XbaI fragment in a pTZ vector called pMHP35. It contains the CaMV 35S promoter/Cab22L BglII-NcoI fragment that is described by Harpster et al. [Mol. Gen. Genet. 212: 182-25 190 (1988)] and the Arabidopsis ALS coding and 3' regions, described by Mazur et al. [Plant Physiol. 85: 1110-1117 (1987)], that was mutated so that it encodes a SU-resistant form of ALS. The mutations, introduced by site directed mutagenesis, are those present in the 30 tobacco SU-resistant Hra gene described by Lee et al. 35

[EMBO J. 5: 1241-1248 (1988)]. The resulting plasmid in which the SU-resistant ALS gene is between loxP sites was named pTZlox2FA. Next the entire lox-ALS-lox fragment was isolated following SalI and Asp718 digestion, and cloned into the binary vector pZS94 that had been digested with the same enzymes creating pZ4loxA.

pZS94 contains the origin of replication and ampicillin resistance gene from pBR322 for maintenance and selection in E. coli. It contains the replication and stability regions of the Pseudomonas aeruginosa plasmid pVS1, described by Itoh et al. [Plasmid 11: 206-220 (1984)], which are required for replication and maintenance of the plasmid in Agrobacterium. Also contained are a T-DNA left border fragment of the octopine Ti plasmid pTiA6 and a right border fragment derived from TiAch5 described by van den Elzen et al. [Plant Molec. Biol. 5: 149-154 (1985)]. Between these borders are a LacZ gene and the unique restriction sites HindIII, SalI, BamHI, SmaI, Asp718, and EcoRI derived from pUC18. pZ4loxA was digested with SalI and a SalI fragment containing a chimeric 35S/P-GUS gene was added. This chimeric GUS gene contains the 35S promoter/Cab22L fragment described above, the GUS coding region available from Clonetech, and the Nos 3' region described in Example 1. The resulting plasmid was named pZ4loxAG and is shown in Figure 4.

In Figure 4, the pZS94 binary vector contains a chimeric 35S/P-ALS gene that is bounded by directly oriented loxP sites, and a chimeric 35S/P-GUS-Nos 3' gene. The loxP sites are indicated by arrowheads.

pZ4loxAG was transferred into A. tumefaciens LBA4404 by direct DNA uptake following the procedure described in Plant Molecular Biology Manual, [SB Gelvin et al., 35 eds. Kluwer Academic Press PMAN-A3/7, (1988)]. The

presence of the binary vector in Agrobacterium colonies selected on minA medium with sucrose (See Table 1) containing 100  $\mu$ g/ml carbenicillin was verified by restriction digests of miniprep DNA. The resulting 5 Agrobacterium strain was used to obtain tobacco transformants as described in Materials and Methods using resistance to 25 ppb chlorsulfuron for selection of transformants, as detailed below.

Leaf disks were inoculated by submerging them for 10 several minutes in 20 ml of a 1:20 dilution of the overnight culture of Agrobacterium. The culture was started by inoculating 5 ml of YEP medium (Table 8) containing 100 mg/l carbenicillin with a single bacterial colony. The culture was grown for 15 approximately 17-20 hours in a glass culture tube in a New Brunswick platform shaker maintained at 28°C.

After inoculation, the leaf disks were placed in petri dishes containing .1N1B agar medium (Table 2) and sealed with parafilm. The petri dishes were incubated 20 under mixed fluorescent and "Gro and Sho" plant lights (General Electric) for 2-3 days in a culture room maintained at approximately 25°C.

To rid the leaf disks of Agrobacterium and to select for the growth of transformed tobacco cells, the leaf 25 disks were transferred to fresh .1N1B medium containing 500 mg/l cefotaxime and 25 ppb chlorsulfuron. Cefotaxime is kept as a frozen 200 mg/ml stock solution and added aseptically (filter sterilized through a 0.45  $\mu$ m filter) to the media after autoclaving. A 30 frozen chlorsulfuron stock was prepared by first making a 0.2 mg/ml solution in 0.01 N NH<sub>4</sub>OH, which was then diluted 1:10 with deionized water, and filter sterilized into the autoclaved media. Leaf disks were incubated under the growth condition described above for 18 days

and then transferred to fresh media of the same composition.

Fifteen days later, shoots developing on medium containing 25 ppb chlorsulfuron were excised with a 5 sterile scalpel and planted in MX<sup>-</sup> medium containing 500 mg/l cefotaxime and 25 ppb chlorsulfuron. Root formation was recorded within 2 weeks.

Integration of an intact GUS/loxP/Hra DNA sequence in the plant genome was verified by a callus induction 10 assay for chlorsulfuron resistance and a GUS enzyme activity assay. A leaf piece, approximately 0.2 cm<sup>2</sup> in size, was removed from each of several selected rooted excised shoots to test for GUS activity. Presence of GUS activity was determined by grinding each leaf piece 15 in a solution (Table 6) containing 1 mg/ml X-Gluc (5-bromo-4-chloro-3-indolyl- $\beta$ -D-galacturonic acid) and incubating the tissues 1-16 hours at 37°C. The formation of a blue precipitate indicated the presence of GUS activity.

20

TABLE 6  
Solution for X-Gluc

|    | <u>Stocks</u>  | <u>Volume (ml)</u> |
|----|--|--------------------|
| 25 | 0.2 M NaPO <sub>4</sub> buffer, pH 7.0<br>(0.2 M Na <sub>2</sub> HPO <sub>4</sub> : 62 ml<br>0.2 M NaH <sub>2</sub> PO <sub>4</sub> : 38 ml) | 25.0               |
|    | Deionized water  | 24.0               |
|    | 0.1 M K <sub>3</sub> [Fe(CN) <sub>6</sub> ]  | 0.25               |
| 30 | 0.1 M K <sub>4</sub> [Fe(CN) <sub>6</sub> ].3H <sub>2</sub> O  | 0.25               |
|    | 1.0 M Na <sub>2</sub> EDTA   | 0.50               |

Leaves from five selected plants exhibiting GUS activity were removed from the rooted excised shoots to 35 determine levels of resistance to chlorsulfuron in a

callus induction assay on selective media. To induce callus formation, leaves were excised and 10 leaf disks, 8 mm in diameter, were made using a sterile paper punch and were plated on callus induction medium containing 5 25 ppb chlorsulfuron and 250 µg/l cefotaxime. Wild-type was used as a control. Leaf disks and the associated callus was weighed; all five transformants exhibited resistance to chlorsulfuron as shown in Table 7.

To test for excision of the ALS marker gene, the 10 five chlorsulfuron-resistant independent transformants that exhibited GUS activity were re-transformed to introduce the *cre* gene as follows. Healthy leaves were harvested from these five transgenic tobacco plants and a wild-type plant growing in Magenta GA7 vessels 15 (Magenta Corp., Chicago, IL, USA). Leaf disks were prepared from these axenic leaves using a sterile paper punch, inoculated with *Agrobacterium* harboring either the *-/Hpt* or the *Cre/Hpt-B* plasmid (Example 4), incubated for three days on .1N1B, placed on .1N1B 20 medium containing 500 mg/l cefotaxime and 30 mg/l hygromycin, and incubated 2 weeks. Leaf disks were then transferred to fresh medium of the same composition for two weeks, then transferred to MX- medium containing 500 mg/l cefotaxime and 30 mg/l hygromycin for shoot 25 formation. As shoots appeared, they were excised from the leaf disk and placed in MX- medium containing 500 mg/l cefotaxime and 30 mg/l hygromycin. Shoots were taken from different leaf disks to ensure that they result from independent transformation events. Shoots 30 which rooted were assayed for GUS activity using X-Gluc as described above. Sixty-three shoots tested had GUS enzyme activity while three did not exhibit GUS activity. The parent pZ4loxAG plant of these three retransformants appears to be chimeric for GUS 35 expression.

Leaf disks were taken from each plant and tested in a callus induction assay as described above. Results are shown in Table 7. Ninety-one percent of all plants resulting from re-transformation with -/Hpt remained 5 chlorsulfuron resistant, as expected, since the Cre recombinase was not introduced. Ninety-five percent of the plants resulting from re-transformation of pZ4LoxAG plants with Cre/Hpt-B exhibited sensitivity to chlorsulfuron; only two out of 38 plants remained 10 resistant to chlorsulfuron. These data indicate that the sulfonylurea-resistant ALS gene is no longer functioning in a majority of those plants which received the cre coding region, suggesting that it has been 15 excised by cre-mediated recombination at loxP sites.

15 To verify that excision has occurred, DNA prepared from re-transformants was analyzed on Southern blots. The DNA was digested with EcoRI before gel electrophoresis and transferred to filters. A DNA fragment consisting of the 35S promoter was prepared, 20 radioactively labeled, and hybridized to the blotted plant DNA. A 6 kb band was detected in re-transformants that did not receive cre. This band represents a DNA fragment extending from an EcoRI site located 3' to the GUS coding region to an EcoRI site located within the 25 ALS coding region (see Figure 4). It includes the GUS coding region, the 35S promoter that regulates expression of GUS, the 35S promoter that regulates expression of ALS and a portion of the ALS coding region. A 3.2 kb band was detected in DNA from plants 30 that received cre. This band represents a DNA fragment extending from the EcoRI site located 3' to the GUS coding region to an EcoRI site located just outside of the distal loxP site. It includes the GUS coding region and the 35S promoter regulating GUS expression. The 35 shift of the detected fragment size from 6 kb to 3.2 kb

verifies the excision of the DNA segment located between the *loxP* sites, including the ALS coding region and the 35S promoter that regulates its expression.

5

TABLE 7

Number of Chlorsulfuron Resistant and Sensitive<sup>1</sup> Plants Recovered after Re-transformation with -/Hpt and Cre/Hpt-B as Determined by a Callus Induction Assay

| 10 | Original<br>Transformant | Re-transformed |                |           |           |           |
|----|--------------------------|----------------|----------------|-----------|-----------|-----------|
|    |                          | Hpt/-          |                | Cre/Hpt-B |           |           |
|    |                          | #              | #              | #         | #         |           |
|    | ID                       | Resistant?     | Resistant      | Sensitive | Resistant | Sensitive |
|    | D1                       | yes            | 7              | 0         | 2         | 11        |
| 15 | D2                       | yes            | 4              | 0         | 0         | 5         |
|    | D3                       | yes            | 9              | 0         | 0         | 8         |
|    | D4                       | yes            | 6              | 0         | 0         | 8         |
|    | D5                       | yes            | 4 <sup>2</sup> | 2         | 0         | 4         |
|    | WT                       | no             | 0              | 1         |           |           |

20

<sup>1</sup> Resistant is defined as average weight per leaf disk  $\geq$  1.0 gram and sensitive is defined as average weight per leaf disk  $\leq$  0.2 grams.

25

<sup>2</sup> One of these transformants was resistant in one experiment and sensitive in another experiment.

#### EXAMPLE 8

##### Deletion of a SU-resistant ALS marker gene from transgenic *arabidopsis* using the *loxP-cre* system

30

A sulfonylurea (SU) resistance marker gene was eliminated from transgenic *Arabidopsis* plants, leaving the chimeric 35S/P-GUS gene in the genome. Plasmid construction and transformation of *Agrobacterium* are described in Example 7.

Standard aseptic techniques for the manipulation of sterile media and axenic plant/bacterial cultures are followed, including the use of a laminar flow hood for all transfers. Compositions of the culture media are 5 listed in Table 8. Unless otherwise indicated, 25x100 mm petri plates, sealed with filter tape (Carolina Biological Supply Co., Burlington, NC), were used for plant tissue cultures. Incubation of plant tissue cultures was at 23°C under constant illumination with 10 mixed fluorescent and "Gro and Sho" plant lights (General Electric) unless otherwise noted.

The source of explants was *in vitro* grown roots of Arabidopsis thaliana (L.) Heynh, geographic race Wassilewshija. Seeds were sterilized for 10 min in a 15 solution of 50% commercial bleach with 0.1% SDS, rinsed three to five times with sterile water, dried thoroughly on sterile filter paper, and then 2-3 seeds were sown in 50 ml liquid Gamborg's B5 medium (Gibco #560-1153) in 250 ml Belco flasks. The flasks were capped, placed on 20 a rotary shaker at 70-80 rpm, and incubated for 3-4 weeks.

Prior to inoculation with Agrobacterium, root tissues were cultured on callus induction medium (MSKig, infra). Roots were harvested by removing the root mass 25 from the Belco flask, placing it in a petri dish, and using forceps, pulling small bundles of roots from the root mass and placing them on MSKig medium. Petri dishes were sealed with filter tape and incubated for four days.

30 Cultures of Agrobacterium cells containing the binary plasmid pZ4loxA<sub>G</sub>, as previously described, were grown in 5 ml of YEP medium containing 100 mg/l carbenicillin. Cultures of Agrobacterium cells containing the binary plasmid Cre/Hpt-B (Example 3) were 35 grown in 5 ml of YEP medium containing 5 mg/l

tetracycline. The cultures were grown for approximately 17-20 hours in glass culture tubes in a New Brunswick platform shaker (225 rpm) maintained at 28°C. Pre-cultured roots were cut into 0.5 cm segments and placed 5 in a 100  $\mu$ m filter, made from a Tri-Pour beaker (VWR Scientific, San Francisco, CA USA) and wire mesh, which is set in a petri dish. Root segments were inoculated for several minutes in 30-50 ml of a 1:20 dilution of the overnight Agrobacterium culture with periodic gentle 10 mixing. Inoculated roots were transferred to sterile filter paper to draw off most of the liquid. Small bundles of roots, consisting of several root segments, were placed on MSKig medium containing 100  $\mu$ M Acetosyringone (3',5'-Dimethoxy-4'-hydroxyaceto-phenone, 15 Aldrich Chemical Co., Milwaukee, WI, USA). Petri plates were sealed with parafilm or filter tape and incubated for two to three days.

After infection, root segments were rinsed and transferred to shoot induction medium with antibiotics 20 as detailed below. Root bundles were placed in a 100- $\mu$ m filter unit (described above) and rinsed with 30-50 ml liquid MSKig medium. The filter was vigorously shaken in the solution to help remove the Agrobacterium, transferred to a clean petri dish, and rinsed again. 25 Roots were blotted on sterile filter paper and bundles of roots were placed on MSg (infra) medium containing 500 mg/l vancomycin and either 25 ppb chlorsulfuron (pZ4loxAG) or 15 mg/l hygromycin (Cre/Hpt and -/Hpt). Plates were sealed with filter tape and incubated for 12 30 to 14 days.

Green nodules and small shoot primordia were visible at about 2-3 weeks. The explants were either left intact or were broken into numerous pieces and placed on GM medium containing 200-300 mg/l vancomycin and either 35 25 ppb chlorsulfuron (pZ4loxAG) or 10 mg/l hygromycin

(Cre/Hpt and -/Hpt) for further shoot development.

Plates were either sealed with two pieces of tape or with filter tape. As they developed, individual shoots were isolated from the callus and were placed on MSRg

5 medium containing 100 mg/l vancomycin and either 25 ppb chlorsulfuron (pZ4loxAG) or 10 mg/l hygromycin (Cre/Hpt and -/Hpt). Dishes were sealed as described above and incubated for seven to 10 days. Shoots were then transferred to GM medium containing 100-200 mg/l

10 vancomycin in 25x100 petri dishes or Magenta G7 vessels. Many primary transformants (T1) which were transferred to individual containers set seed (T2).

T2 seed was harvested from selected putative transformants and sown on GM medium containing either 25

15 ppb chlorsulfuron (pZ4loxAG) or 10-30 mg/l hygromycin (Cre/Hpt and -/Hpt). Plates were cold treated for 2 or more days at 4°C, and then incubated for 10 to 20 days at 23°C under constant illumination as described above. Seedlings were scored as resistant (green, true leaves

20 develop) and sensitive (no true leaves develop).

Selected chlorsulfuron or hygromycin resistant T2 seedlings were transplanted to soil and were grown to maturity at 23°C daytime (14 hours), 18°C nighttime (10 hours), at 65-80% relative humidity.

25 Genetic crosses of Cre/Hpt-B plants (hygromycin resistant) and pZ4loxAG plants (chlorsulfuron resistant) were performed and the resulting seed allowed to mature. Seed was collected, sterilized, plated on GM (Table 8) containing 30 mg/l hygromycin, and tissue from the

30 seedlings tested for GUS activity with X-gluc as previously described. Those seedlings exhibiting both hygromycin resistance and GUS activity (indicating that they received both the cre gene and the loxP construction), were allowed to grow until stem tissue

35 could be obtained for a callus induction assay. Stem

tissues were obtained from selected transformants and placed on MSKig medium with and without 25 mg/l chlorsulfuron. Callus growth was recorded within three weeks. pZ4LoxAG plants were allowed to self-pollinate, 5 seed was collected, sterilized, plated on GM containing 25 ppb chlorsulfuron, and used as controls (see Table 9 for results). Sensitivity to chlorsulfuron in the majority of GUS positive seedlings resulting from crosses between Cre/Hpt-B and pZ4LoxAG plants indicates 10 that in these seedlings, the SU-resistant ALS marker gene was no longer functioning, suggesting it has been excised by Cre-mediated recombination between loxP sites.

Chlorsulfuron sensitive plants were placed in soil 15 and are allowed to mature. T3 seed is collected, sterilized, and germinated on GM medium with or without 30 mg/l hygromycin or 25-100 ppb chlorsulfuron. Plates are sealed with filter tape, cold treated for 2 or more days at 4°C, and then incubated for 10 to 20 days at 20 23°C under constant illumination as described above. Seedlings are scored as resistant and sensitive and the results recorded. Representative seedlings are screened for GUS activity. Some seedlings exhibit GUS activity, but are not resistant to chlorsulfuron indicating that 25 the SU-resistant ALS marker gene is no longer functioning, suggesting that it has been excised by Cre-mediated recombination between loxP sites.

To verify that excision has occurred, DNA prepared 30 from these plants is analyzed on Southern blots. The DNA is digested with EcoRI before gel electrophoresis and transferred to filters. A DNA fragment consisting of the 35S promoter is prepared, radioactively labeled, and hybridized to the blotted plant DNA. A 6 kb band is detected in re-transformants that did not receive cre. 35 This band represents a DNA fragment extending from an

EcoRI site located 3' to the GUS coding region to an EcoRI site located within the ALS coding region (see Figure 4). It includes the GUS coding region, the 35S promoter that regulates expression of GUS, the 35S promoter that regulates expression of ALS, and a portion of the ALS coding region. A 3.2 kb band is detected in DNA from plants that received cre. This band represents a DNA fragment extending from the EcoRI site located 3' to the GUS coding region to an EcoRI site located just outside of the distal loxP site. It includes the GUS coding region and the 35S promoter regulating GUS expression. The shift of the detected fragment size from 6 kb to 3.2 kb verifies the excision of DNA segment located between the loxP sites, including the ALS coding region and the 35S promoter that regulates its expression.

TABLE 8  
Medium Composition

20

YEP MEDIUM

|                     | <u>Per Liter</u> |
|---------------------|------------------|
| Bacto Peptone       | 10.0 g           |
| Bacto Yeast Extract | 10.0 g           |
| NaCl                | 5.0 g            |
| Agar (optional)     | 15.0 g           |
| pH 7.0              |                  |

BASIC MEDIUM

|    |  |         |
|----|--|---------|
| 25 | 1 pkg. Murashige and Skoog Minimal Organics Medium without Sucrose (Gibco #510 or Sigma # M6899) |         |
|    | 10 ml Vitamin Supplement   |         |
|    | 0.05% MES  | 0.5 g/l |
|    | 0.8% agar  | 8 g/l   |
| 30 | pH 5.8   |         |

VITAMIN SUPPLEMENT - 100 X Stock

10 mg/l thiamine  
 50 mg/l pyridoxine  
 5 50 mg/l nicotinic acid

## GM = Germination Medium

## Basic Medium

1% sucrose 10 g/l  
 10

MSKig = Callus Induction Medium  
 Basic Medium  
 2% glucose 20 g/l  
 0.5 mg/l 2,4-D 2.3  $\mu$ M  
 15 0.3 mg/l Kinetin 1.4  $\mu$ M  
 5 mg/l IAA 28.5  $\mu$ M

## MSg = Shoot Induction Medium

Basic Medium  
 20 2% glucose 20 g/l  
 0.15 mg/l Indole-3-Acetic Acid 0.86  $\mu$ M  
 (IAA)  
 5.0 mg/l  $N^6$ -( $\Delta_2$  Isopentenyl)- 24.6  $\mu$ M  
 Adenine 2iP

25  
 MSRg = Shoot Induction Medium  
 Basic medium  
 2% glucose 20 g/l  
 12 mg/l Indole-3-Butyric Acid 58.8  $\mu$ M  
 30 (IBA)  
 0.1 mg/l Kinetin 0.46  $\mu$ M

## TABLE 9

Results of Assays of Seedlings Resulting from Crosses  
between pZ4loxAG and Cre/Hpt-B Arabidopsis Plants

|    |    | # Chlorsulfuron Sensitive Seedlings/ |      |                  |
|----|----|--------------------------------------|------|------------------|
|    |    | # GUS Positive, Hygromycin Resistant |      |                  |
|    |    | Female                               | Male | Seedlings Tested |
| 10 | E1 |                                      | B6   | 42/42            |
|    | E2 |                                      | B4   | 0/5              |
|    | E3 |                                      | B3   | 4/4              |
|    | E4 |                                      | B5   | 7/7              |
|    | E5 |                                      | B3   | 1/1              |

15

## EXAMPLE 9

Expression of Cre from the chemically  
regulated corn promoter In2-2

Cre expression was placed under control of the In2-2 promoter, that is induced by N-(aminocarbonyl)-2-chlorobenzenesulfonamide, by constructing the chimeric gene: In2-2/P-cre-Nos 3'. The starting material for the construction was the plasmid Cre/Hpt-A, which was described in Examples 1 and 2. Cre/Hpt-A was digested with HindIII and SalI and the DNA fragment containing the cre coding region and the Nos 3' was isolated. This HindIII-SalI fragment was subcloned into the vector Bluescript SK(+) (Stratagene, catalog #212205) that had been digested with HindIII and SalI and dephosphorylated, yielding the plasmid designated pBSCre.

The addition of the In2-2 promoter to pBSCre was accomplished using plasmids HPH 463 dam(-) and 2-2(3.9) which have been described in WO 90/11361. The plasmid 2-2(3.9) is a pUC18 plasmid containing a 3.9 kb SalI fragment derived from the genomic clone containing the

2-2 gene. The 3.9 kb fragment includes 3.6 kb of promoter sequence located 5' of the translation start site and 180 bp of the coding region for the 2-2 protein. The plasmid pHPH 463 dam(-) is a Bluescript S/K(+) plasmid containing a chimeric promoter that has 136 bp of 2-2 promoter joined to the 5' untranslated leader from the maize alcohol dehydrogenase (ADH) 1-1S allele [Dennis et al., *Nucl. Acids Res.* 12: 3983-4000 (1984)], with an NcoI site incorporated at the translation start codon. pHPH 463 dam(-) was digested with *Cla*I and the resulting 5' overhangs were filled in with Klenow. The resulting DNA was then digested with *Xba*I and the *Cla*I blunt-*Xba*I fragment containing the 3' part of the 2-2 promoter and maize ADH leader was isolated. The plasmid pBSCre was digested with *Hind*III and the resulting 5' overhangs were rendered blunt with Klenow. This pBSCre DNA was then digested to completion with *Xba*I (located in the polylinker) and dephosphorylated using calf intestinal phosphatase. The *Cla*I blunt-*Xba*I fragment derived from pHPH 463 dam(-) and the dephosphorylated pBSCre vector were then ligated together to yield the plasmid designated pBSCre101. This construction contains a *cre* coding region under the transcriptional control of a modified 2-2 promoter that includes a 5' untranslated leader sequence from the maize ADH gene.

Next the 5' distal portion of the In 2-2 promoter was added to pBSCre101. The plasmid 2-2(3.9), described above, was digested to completion with *Xba*I and *Aat*II and a fragment containing 1.2 kb of the 2-2 promoter was isolated. The plasmid pBSCre101 was digested with *Xba*I and *Aat*II, dephosphorylated and ligated to the *Xba*-*Aat*II fragment from 2-2(3.9). The resulting construction was designated pBSCre102.

Next pBSCre102 was digested with XbaI and XhoI and the fragment containing the entire In2-2/P-cre-Nos 3' chimeric gene was ligated to pJJ2644 that had been digested with XbaI and XhoI and dephosphorylated, 5 resulting in pBS103. pJJ2644 was described in Example 4. pBSCre103, shown in Figure 5, was transformed into *A. tumefaciens* and the resulting strain used to obtain tobacco transformants as described in Materials and Methods; 30 mg/l hygromycin was used for selection and 10 leaf disks were placed on fresh medium every two to three weeks.

Independent primary transformants were grown in magenta boxes, and young leaves were harvested and frozen in liquid nitrogen. One week after the initial 15 harvest, shoot tips were harvested and placed in 15-ml Falcon tubes filled with 0.5X Hoagland's solution (Table 11) containing 200 mg/l N-(aminocarbonyl)-2-chlorobenzenesulfonamide. The plants were allowed to take up inducer for approximately 24 hours. The induced 20 shoots were then harvested, frozen in liquid nitrogen and stored at -80°C.

Total RNA was isolated from both sets of samples as described by Colbert et al. [Proc. Natl. Acad. Sci. USA 80: 2248-2252 (1983)]. Replicate RNA samples from both 25 uninduced and induced transformed plants were transferred to nitrocellulose filters and probed with nick translated pBSCre. Twenty-six primary transformants had little to no cre mRNA in the uninduced state, and a strongly hybridizing cre mRNA signal after 30 treatment with N-(aminocarbonyl)-2-chlorobenzene-sulfonamide. This result demonstrates that expression of the cre gene was successfully regulated when under control of the In2-2 promoter.

TABLE 10

|    |  |
|----|--|
|    | <u>0.5X Hoagland's Nutrient Solution</u> |
| 5  | 1.0 mM ammonium phosphate, monobasic     |
|    | 4.0 mM potassium nitrate                 |
|    | 4.0 mM calcium nitrate                   |
|    | 2.0 mM magnesium sulfate                 |
|    | 1.0 mM ammonium nitrate                  |
|    | 5.0 ppb Sequestrene                      |
| 10 | 9.2 $\mu$ M manganese chloride           |
|    | 46.0 $\mu$ M boric acid                  |
|    | 0.77 $\mu$ M zinc sulfate                |
|    | 0.32 $\mu$ M cupric sulfate              |
|    | 0.11 $\mu$ M molybdc acid                |
| 15 |  |

EXAMPLE 10Chemical regulation of loxP-cre  
mediated recombination

Transformants containing the In2-2/P-cre-Nos 3' gene 20 that respond to induction by N-(aminocarbonyl)-2-chlorobenzenesulfonamide, described in Example 9, were crossed, as described in Section F, with the homozygous loxP plants that were described in Section G. The resulting seed is germinated on hygromycin to select 25 those progeny that receive the cre gene. All progeny receive the loxP construction from the homozygous parent. Leaf disks are taken from selected progeny and induced to callus on kanamycin medium as described previously. Lack of callus formation indicates that the 30 lox construction, in which the kanamycin resistance gene is nonfunctional due to the loxP-polyA-loxP fragment insertion, remains intact and that no recombination has occurred. Cre expression is also induced in progeny by treating with N-(aminocarbonyl)-2-chlorobenzene- 35 sulfonamide or related inducing chemicals by spraying,

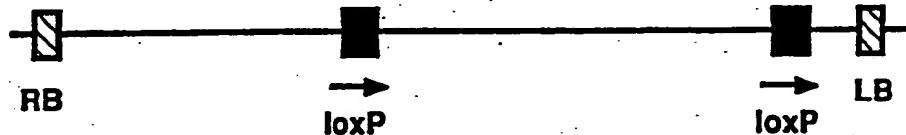
by cutting off the shoot tip or a leaf and allowing uptake through the vascular system, or by placing tissue explants on inducer-containing medium. Following induction, leaf disks are again assayed for callus growth on kanamycin. Growth of this tissue indicates that Cre-mediated recombination at the loxP sites excises the polyA fragment and restores function of the kanamycin resistance gene. Thus recombination occurs only after the inducing chemical is applied to plants containing the In2-2/P-cre-Nos 3' gene and a loxP construction.

EXAMPLE 11

Restoration of fertility in a molecular genetic approach to hybrid seed production

An anther promoter-cell disruption chimeric gene (A/P-CD) causing male sterility is deleted from the genome of the hybrid plant resulting in fertility restoration. The A/P-CD gene used consists of the TA29 promoter, the barnase coding region, and the NOS 3' end as described in EPA 89-344029. A binary vector is constructed to consist of the A/P-CD gene flanked by directly repeated loxP sites, and a chimeric NptII gene as a kanamycin resistance selection marker, between the left and right T-DNA border sequences. A diagram of the T-DNA of the binary vector is shown below:

Nos/P-NptII      TA29/P-barnase-Nos 3'



30. This plasmid, called Lox/AD, is transferred into A. tumefaciens LBA4404 and the resulting strain is used

to obtain tobacco transformants as described previously. The resulting transformants containing Lox/AD are grown to maturity and tested for male sterility, which indicates correct expression of the A/P-CD gene. Male 5 sterile Lox/AD plants are identified as those that produce no seed upon selfing and/or produce no pollen. These plants are fertilized with pollen from homozygous 35S/P-Cre plants, obtained in Section C, and seed is harvested as previously described. Seeds are sterilized 10 and planted on MX- medium in the presence of kanamycin. Seedlings which are resistant to kanamycin, and therefore have received the Lox/AD construction, are grown to maturity and tested for male fertility. Restoration of fertility is identified by the ability to 15 develop pollen and produce seed upon selfing. Fertility restoration indicates that the A/P-CD gene is deleted due to interaction of Cre protein with the loxP sites.

EXAMPLE 12

20 Cre-lox mediated disruption of  
F1 seed development

F1 seed development is aborted by activating a seed disruption gene using the loxP-Cre system. One component of a seed disruption gene is a promoter that 25 is only expressed in the seed. This type of promoter can be derived from a gene whose expression is naturally associated with the embryo and/or endosperm. Desirable promoters to use are derived from the embryo-expressed  $\beta$ -subunit of phaseolin ( $\beta$ -Ph), or from the  $\alpha'$  subunit of  $\beta$ -conglycinin of soybean ( $\alpha'$ - $\beta$ -CG), which is highly 30 expressed early in seed development in the endosperm and embryo. These two genes are described by Doyle et al. [J. Biol. Chem., 261:9228-9238 (1986)].

35 A second component of the seed disruption gene is a coding region which produces a protein that disrupts

normal cell functions. An example is the coding region for barnase derived from Bacillus amyloliquefaciens, which has been cloned and characterized by Hartley [J. Mol. Biol. 202: 913-915 (1988)] and used in EPA 5 89-344029. The third component is a polyadenylation signal sequence region, which can be derived from the 3' end of most any gene that is functional in plant cells. In this example we use the 3' region from the bean phaseolin gene [Chee et al., Gene 41: 457 (1986)]. The 10 seed disruption gene is made into an inactive form by placing a loxP-poly-A-loxP DNA fragment between the promoter and coding region as described for the NOS/P-NptII gene in Example 5. Inactive and active (control) chimeric genes containing either the  $\beta$ -Ph or 15 the  $\alpha'$ - $\beta$ -CG promoter, the barnase coding region (bar), and the phaseolin 3' region (Ph 3') are constructed as follows.

Plasmids containing the  $\beta$ -Ph promoter and Ph 3' or the  $\alpha'$ - $\beta$ -CG promoter and Ph 3' called pUC18pvPpvS and 20 pUC18gmPpvS, respectively, were obtained from Dr. Jerry Slightom, the Upjohn Company. The promoter and 3' regions contained in these two plasmids were synthesized from the genes described by Doyle et al. [J. Biol. Chem., 261:9228-9238 (1986)] using the polymerase chain 25 reaction (PCR) procedure described by Saiki et al. [Science, 239:487-491 (1987)]. During the PCR procedure an NcoI site was added at the translation start ATG and 5' to the Ph 3' sequence by incorporating the NcoI restriction site recognition sequence into the 30 appropriate synthetic oligonucleotide primers. A HindIII site was similarly added at the 5' end of the promoter fragments. The synthetic promoter and 3' region fragments were joined at the introduced NcoI sites and ligated into the HindIII site of pUC18 (a 35 HindIII site occurs naturally at the end of the Ph 3'

fragment). The resulting plasmids, pUC18pvPpvS and pUC18gmPpvS, were each digested with EcoRI and SalI, the ends filled in using the Klenow enzyme, and religated to delete the polylinker sites located between EcoRI and 5 SalI. The resulting plasmids were named CW104 and CW105, respectively. Each of these plasmids was then digested with NcoI and a synthetic oligonucleotide with restriction sites: NcoI, SmaI, KpnI, XbaI, and incomplete NcoI was added. The resulting plasmids were 10 named CW108 and CW109.

Since the barnase enzyme is lethal to cells, an inhibitor of barnase called barstar is expressed in the same cells. The pMT420 plasmid containing the barstar and barnase genes isolated from Bacillus 15 amyloliquefaciens was obtained from Dr. Robert Hartley, NIH. These genes are described by Hartley [J. Mol. Biol. 202:913-915 (1988)]. The barstar gene was isolated from pMT420 as a PstI-HindIII fragment and ligated into PstI (a unique PstI polylinker site is 20 located after the HindIII site at the 3' end of the Ph 3' fragment) and HindIII digested CW108. A plasmid that retains the HindIII  $\beta$ -Ph promoter and Ph 3' fragment and contains the barstar gene was identified and named 108B. The NcoI-PstI fragment containing Ph 3' 25 and barstar was isolated and ligated to NcoI and PstI digested CW109 creating 109B.

The complete barnase protein includes a pre-sequence involved in secretion, a pro-sequence involved in folding, and the mature protein sequence containing the 30 enzyme activity. We propose that expression of only the mature protein is most effective in disrupting plant cells. Though EPA 89-344029 makes use of barnase as a plant cell disruption protein, it does not disclose details on construction of a barnase gene for expression.

in plant cells. No information is given on the portion of the barnase coding region that is expressed.

To prepare a DNA fragment containing the coding region for the mature barnase protein (bar), *Bacillus*

5 *amyloliquefaciens* DNA was used as a template for the PCR procedure described by Saiki et al. [Science 239:487-491 (1987)]. Synthetic primers were made that add an NcoI site, including an in-frame translation start ATG, at the 5' end of the mature protein coding region and an 10 XbaI site following the translation stop codon. The amplified DNA fragment was digested with NcoI and XbaI and ligated to NcoI and XbaI digested 108B creating 108BB, which then contains an active form of the seed disruption gene. Similarly, 109BB is constructed.

15 To prepare inactive forms of the seed disruption gene, first the NcoI site at the 3' end of the promoter fragment was removed from CW108 and CW109, described above, by digesting each plasmid with NcoI and treating with S1 nuclease. S1 treated CW108 was religated, a 20 plasmid missing the NcoI site was identified by restriction mapping and DNA sequencing, and named 108N. S1 treated CW109 was digested with SmaI (the site is adjacent to the NcoI site) and religated. A plasmid missing the NcoI site was identified by restriction 25 mapping and DNA sequencing and named 109N1. 109N1 was digested with Asp718 and XbaI and a synthetic oligonucleotide linker with the sites Asp718, XhoI, NcoI, and XbaI was added. The resulting plasmid named 109N1X was digested with XhoI and NcoI and an XhoI-NcoI 30 loxP-polyA-loxP DNA fragment was added. This fragment was prepared from p69ssN, a derivative of pBS69polyA, which was described in Example 5. To make p69ssN, pBS69polyA was digested at the HindIII site located outside of one loxP site, the ends filled in, and NcoI 35 linkers were added. 109N1X containing the loxP-polyA-

loxP fragment was called 109lox2. This plasmid is digested with NcoI and PstI and the NcoI-PstI fragment prepared from 109BB containing bar, Ph 3', and barstar is added creating 109lox2BB. This plasmid contains an 5 inactive seed disruption gene. 108N is digested with Asp718 and PstI and the Asp718-PstI fragment prepared from 1098lox2BB is added. The resulting plasmid is named 108lox2BB and contains an inactive seed disruption gene.

10 The inactive and active cell disruption genes are each moved into a binary vector with an NptII gene within the borders and a barstar gene added outside of the T-DNA borders. The resulting plasmids are called pZ108lox2BB, pZ109lox2BB, pZ108BB, and pZ109BB. These 15 plasmids are transferred into a disarmed *A. tumefaciens* and the resulting strains are used to obtain transformants as previously described.

20 Expression of the cre coding region is more effective either with the same developmentally controlled promoter or with the highly active 35S promoter. The cre coding region was placed under control of the same seed promoters used for the disruption genes making chimeric SP-cre-Ph 3' genes: 108Cre and 109Cre. These genes were cloned into binary 25 vectors between the T-DNA borders along with a chimeric sulfonylurea resistance selection marker gene, creating pZ108Cre and pZ109Cre plasmids. These plasmids are transferred into a disarmed *A. tumefaciens* and the resulting strains are used to obtain tobacco or 30 *Arabidopsis* transformants as previously described. Homozygous single locus plants are derived from primary transformants as previously described.

35 Homozygous plants transformed with pZ108lox2BB or pZ109lox2BB are crossed with homozygous plants containing pZ108Cre or pZ109Cre, and with the homozygous

Cre plants described in Section C or Example 9. Seed pods or siliques are checked for the absence of seed indicating that the seed disruption gene is activated by the loxP-cre system.

5

EXAMPLE 13

Cre-lox mediated disruption of F2 seed development

F1 seed development is normal and F2 seed development is aborted by activating a seed coat disruption gene using the loxP-cre system. A seed coat disruption gene is made consisting of the mature barnase coding region described above and a promoter region isolated from a seed coat-specific gene. A seed coat-specific gene is being isolated using the following steps. Seed coats were dissected from immature watermelon seeds and total cellular RNA was prepared using the guanidium isothiocyanate extraction procedure from Stratagene followed by LiCl precipitation as described by Ausubel et al. [Current Protocols in Molecular Biology 481-483 (1987)]. Total RNA of leaves was isolated using the method described by Baker et al. [Biotechniques 9(3), 268-272 (1990)]. Next a polyadenylated (polyA<sup>+</sup>) RNA fraction was prepared by two rounds of affinity chromatography on oligo(dT)-cellulose spin columns from Pharmacia following the manufacturer's procedure. A cDNA library made to this polyA<sup>+</sup> RNA preparation was obtained from Stratagene. Duplicate filters made from plates of the library, or duplicate slot blots containing DNA made from each individual cDNA clone prepared according to Conkling et al. [Plant Physiol. 93, 1203-1211 (1990)] were differentially screened using <sup>32</sup>P-labeled cDNA probes made from the seed coat polyA<sup>+</sup> RNA or from leaf polyA<sup>+</sup> RNA according to Sargent [Guide to Molecular Cloning Techniques, Berger and Kimmel, eds. 423-432 (1987)]. Clones were

identified that hybridized only to the seed coat probe. The cloned cDNA sequences are verified as being derived from seed-specific RNAs by using them to probe a Northern blot, as described in BioRad's protocol,

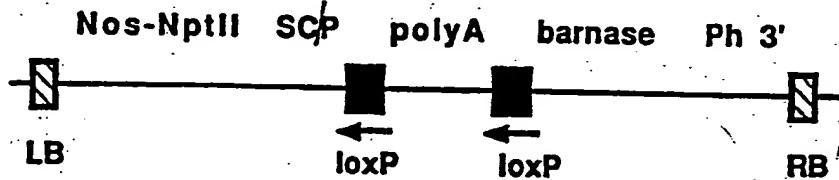
5 prepared with RNAs made from different plant tissues. A seed-specific cDNA is used to probe a genomic library made from watermelon DNA, made with a kit from NEN and using the included protocol, and the gene encoding the seed-specific RNA represented by the cDNA is identified.

10 The genomic clone is mapped to locate the desired gene. The 5' end of the transcript is located by primer extension experiments as described in Ausubel et al. [Current Protocols in Molecular Biology 481-483 (1987)].

15 The promoter region including about 1 kilobase of sequence upstream of the transcription start site is prepared as a DNA fragment. This seed coat promoter is ligated to the barnase coding region followed by the Phaseolin 3' region, as described in the Example 13 above, creating the chimeric gene called SCP-bar-Ph 3'.

20 This chimeric seed coat disruption gene is made into an inactive form by adding a loxP-polyA-loxP DNA fragment between the promoter and coding region as described for the NOS/P-NptII gene in Example 5. The inactive SCP-lox-bar-Ph 3' gene is cloned into a binary

25 vector between the T-DNA borders along with a chimeric kanamycin resistance selection marker gene. A diagram of the T-DNA of the binary vector is shown below:



30

This plasmid, called lox/SCP/NptII, is transferred into a disarmed *A. tumefaciens* and the resulting strain is

used to obtain tobacco or *Arabidopsis* transformants as described previously.

Expression of the *cre* coding region is more effective either with the same developmentally controlled promoter or with the highly active 35S promoter. The *cre* coding region is placed under control of the same seed coat promoter used for the disruption gene making a chimeric SCP-*cre*-Ph 3' gene. This gene is cloned into a binary vector between the T-DNA borders along with a chimeric sulfonylurea resistance selection marker gene, creating the SCP<sub>Cre</sub>/ALS plasmid. This plasmid is transferred into a disarmed *A. tumefaciens* and the resulting strain is used to obtain tobacco or *Arabidopsis* transformants as described previously.

Primary transformants containing *lox*/SCP<sub>B</sub>/NptII are crossed with primary transformants containing SCP<sub>Cre</sub>/ALS, and with the homozygous Cre plants (containing the chimeric 35S-*Cre* gene) described in Section G and Example 8. Since the primary transformants are heterozygous, the seed produced from their crosses could carry none, both, or either one of the foreign DNA insertions. Therefore, progeny are screened for the presence of the two marker genes, ALS and NptII by germinating seeds on medium containing both chlorsulfuron and kanamycin. Resistant progeny that carry both the inactive seed coat disruption gene and the chimeric *cre* gene, are grown to maturity and selfed. Seed pods are checked for the absence of seed indicating that the seed coat disruption gene is activated by the *loxP-cre* system thereby disrupting production of F2 seed.

In crosses of *lox*/SCP<sub>B</sub>/NptII plants with the homozygous 35S-*Cre* plants, all progeny receive the chimeric Cre gene so only the kanamycin selection is necessary to identify progeny also containing the seed.

coat disruption gene. Selected plants are grown to maturity and selfed. Seed pods are checked for the absence of seed indicating that the seed coat disruption gene is activated and effective in disrupting production of F2 seed.

Homozygous lines of lox/SCP<sub>B</sub>/NptII and of SCP<sub>C</sub>Cre/ALS plants are obtained as described previously and crossed. Seed pods are checked for seed production and seed viability is tested in germination assays. The presence of viable seed indicates that the inactive seed coat disruption gene maintains its inactive state in the seed coat of the developing F1 seed as predicted. Progeny are grown to maturity and selfed. Seed pods are checked for the absence of seed indicating that the seed coat disruption gene is activated and effective in disrupting production of F2 seed. Homozygous lox/SCP<sub>B</sub>/NptII plants are crossed with homozygous 35S-Cre plants and the production of viable F1 seed is tested. Progeny are grown to maturity, selfed, and the absence of F2 seed is observed.

It is to be appreciated that several modifications can be made to the subject invention described herein without departing from the spirit and scope thereof.

25

30

CLAIMS

What is Claimed is:

1. A method for producing site-specific recombination of DNA in plant cells, comprising:
  - i) introducing into the cells a first DNA sequence comprising a first lox site, and a second DNA sequence comprising a second lox site, and
  - 10 ii) contacting the lox sites with Cre, thereby producing the site-specific recombination.
2. A method as defined in Claim 1, wherein a third DNA sequence comprising a cre coding region is also 15 introduced into the cells.
3. A method as defined in Claim 2, wherein the third DNA sequence comprises a promoter that is active in plant cells and expression of the cre gene is 20 produced by direction of the promoter.
4. A method as defined in Claim 3, wherein the first and second DNA sequences are introduced into the cells connected by a pre-selected DNA segment. 25
5. A method as defined in Claim 4, wherein the first and second lox sites have the same orientation and the site specific recombination of DNA is a deletion of the pre-selected DNA segment. 30
6. A method as defined in Claim 5, wherein the cre coding region is derived from bacteriophage P1.

7. A method as defined in Claim 5, wherein the first and second lox sites are loxP or derivatives thereof.

5 8. A method as defined in Claim 5, wherein the first and second lox sites are loxP.

9. A method as defined in Claim 5, wherein the pre-selected DNA segment is selected from the group  
10 consisting of a gene, a coding region, and a DNA sequence that influences gene expression in plant cells.

10. A method as defined in Claim 5, wherein the pre-selected DNA segment is an undesired marker or trait  
15 gene.

11. A method as defined in Claim 4, wherein the first and second lox sites have opposite orientations and the site-specific recombination is an inversion of  
20 the nucleotide sequence of the pre-selected DNA segment.

12. A method as defined in Claim 11, wherein the cre coding region is derived from bacteriophage P1.

25 13. A method as defined in Claim 12, wherein the first and second lox sites are loxP or derivatives thereof.

30 14. A method as defined in Claim 12, wherein the first and second lox sites are loxP.

15. A method as defined in Claim 11, wherein the pre-selected DNA segment is selected from the group consisting of a gene, a coding region, and a DNA sequence that influences gene expression in plant cells.  
35

16. A method as defined in Claim 1, wherein the first and second DNA sequences are introduced into two different DNA molecules and the site-specific 5 recombination is a reciprocal exchange of DNA segments proximate to the lox sites.

17. A method as defined in Claim 16, wherein the cre coding region is derived from bacteriophage P1.

10

18. A method as defined in Claim 17, wherein the first and second lox sites are loxP and derivatives thereof.

15

19. A method as defined in Claim 18, wherein the first and second lox sites are loxP.

20. A method of excising exogenous genes or DNA segments in transgenic plants, comprising:

20

i) introducing into the cells a DNA sequence comprising a first lox site, a second lox site in the same orientation as the first lox site, and a gene or a DNA sequence therebetween, and

25

ii) contacting the lox sites with Cre, thereby excising the heterologous gene or DNA sequence.

30

21. A method as defined in Claim 20, wherein the gene is an undesired marker or trait gene.

22. A plant cell transformed with a DNA sequence comprising at least one lox site.

35

23. A plant cell containing Cre protein.

24. A plant cell transformed with a cre coding region.

5 25. A plant containing cells transformed with a DNA sequence comprising at least one lox site.

26. A plant of Claim 25 wherein the DNA sequence comprises two lox sites and a cre coding region.

10 27. A plant as defined in Claim 25, and having agronomic or horticultural utility.

15 28. A plant containing cells transformed with a cre coding region.

29. A plant as defined in Claim 28, and having agronomic or horticultural utility.

20 30. A plasmid having at least one lox site and a pre-selected DNA segment selected from the group consisting of a gene, a coding region, and a DNA sequence that influences gene expression in plant cells.

25 31. A plasmid as defined in Claim 30, wherein the DNA sequence is a polyadenylation nucleotide sequence derived from the Rubisco small subunit gene.

30 32. A plasmid as defined in Claim 30, wherein the DNA sequence is a selection marker.

33. A plasmid as defined in Claim 30, wherein the DNA sequence is a promoter.

34. A plasmid as defined in Claim 30, wherein the DNA sequence is a regulatory nucleotide sequence.

35. A plasmid having a cre coding region and a 5 promoter that is active in plant cells.

36. A DNA sequence comprising at least one lox site and a pre-selected DNA segment selected from the group consisting of a gene, a coding region, and a DNA 10 sequence that influences gene expression in plant cells.

37. A DNA sequence comprising a cre coding region and a promoter that is active in plant cells.

15 38. Plasmid Cre/Hpt-A characterized by the restriction enzyme map shown in Figure 1A, or a derivative thereof.

20 39. Plasmid Cre/Hpt-B characterized by the restriction enzyme map shown in Figure 1B, or a derivative thereof.

25 40. Plasmid loxP/NptII/Hra characterized by the restriction enzyme map shown in Figure 1C, or a derivative thereof.

41. Plasmid pZ4loxAG characterized by the restriction enzyme map shown in Figure 4, or a derivative thereof.

30 42. The method of Claim 1 useful in the manufacture of seedless produce.

1/7

FIG. 1A

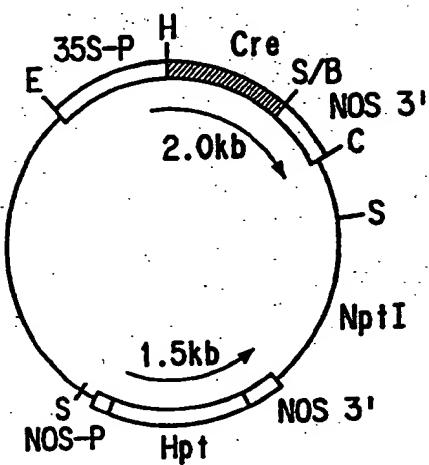
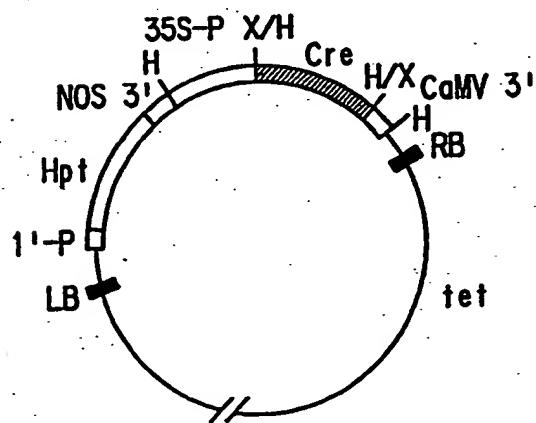


FIG. 1B



2/7

FIG. 1B

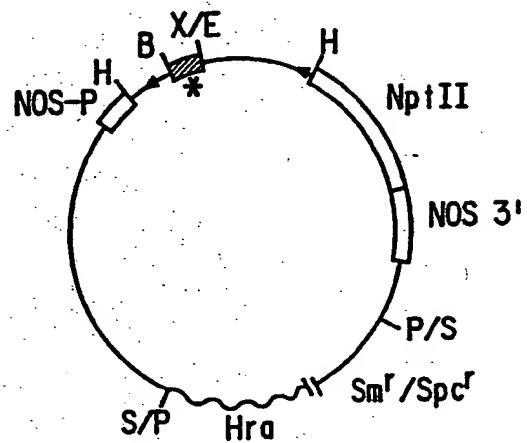
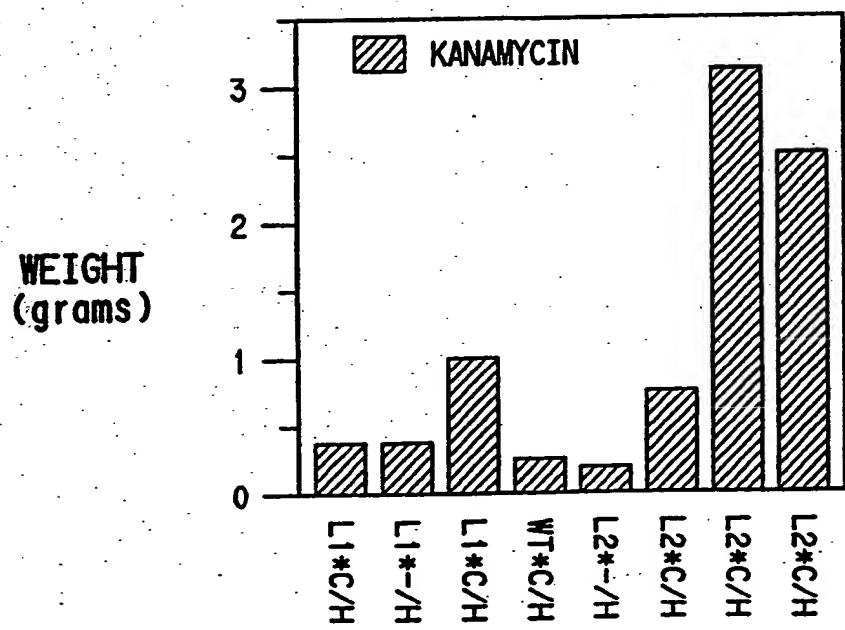


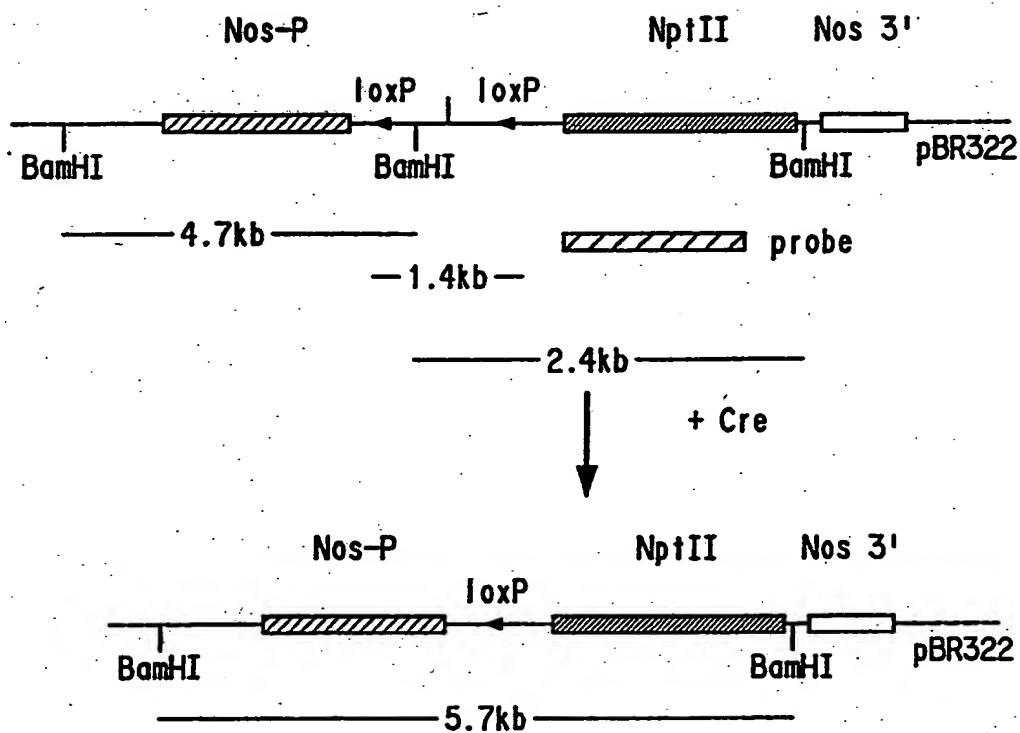
FIG. 2A



3/7

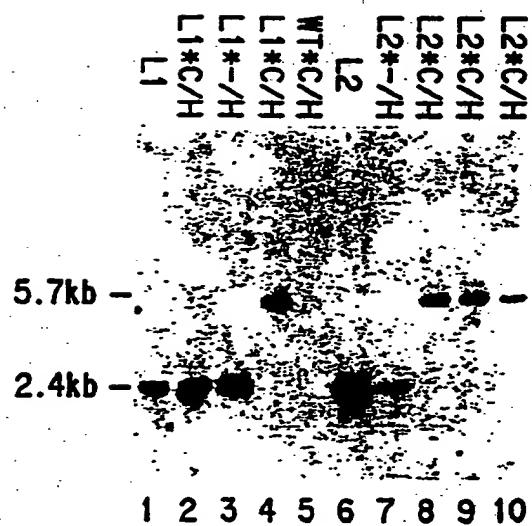
## FIG. 2B

poly A



4/7

FIG.2C



5/7

FIG. 3.

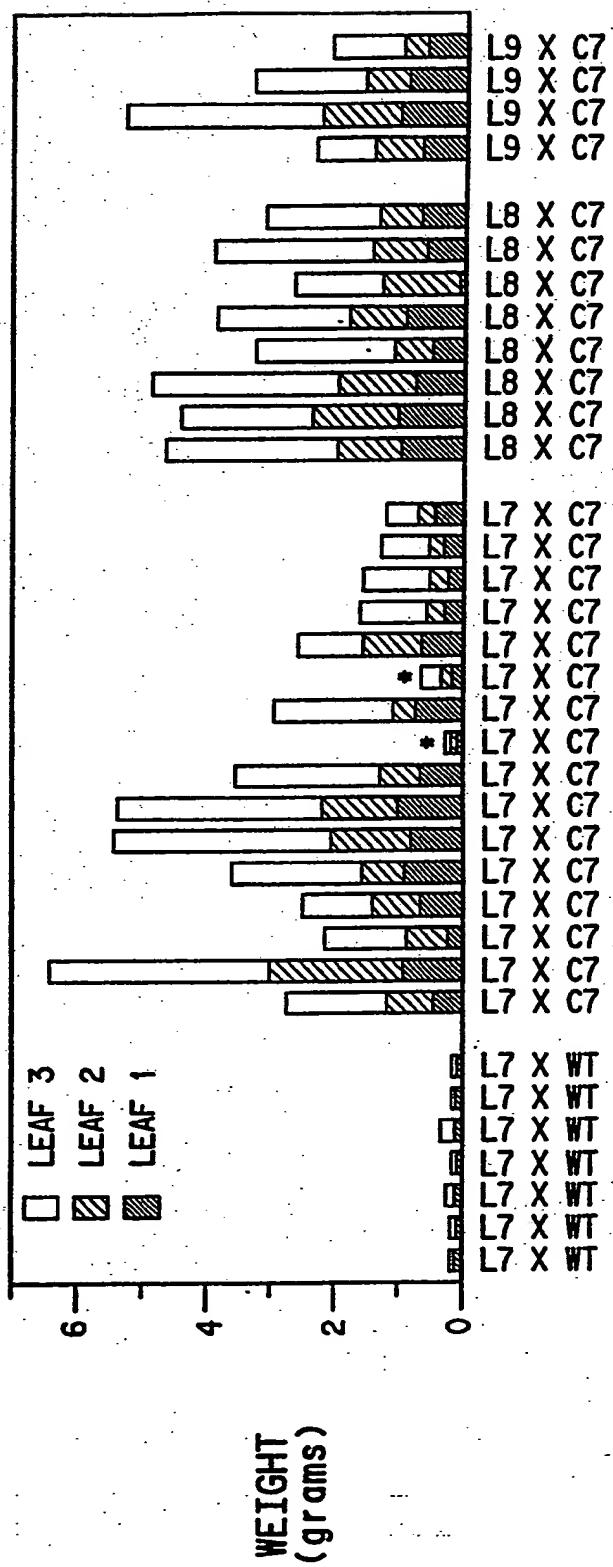
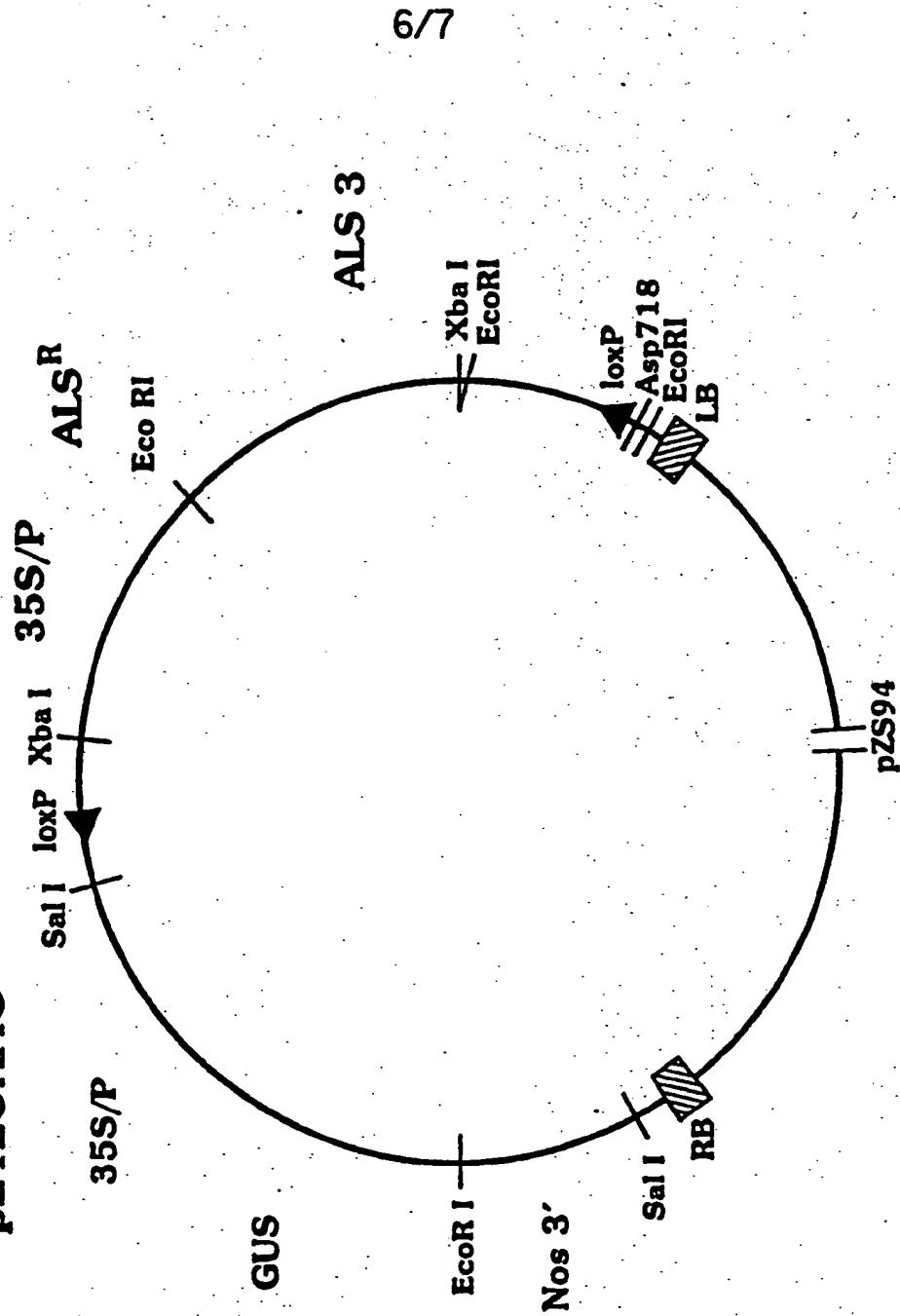


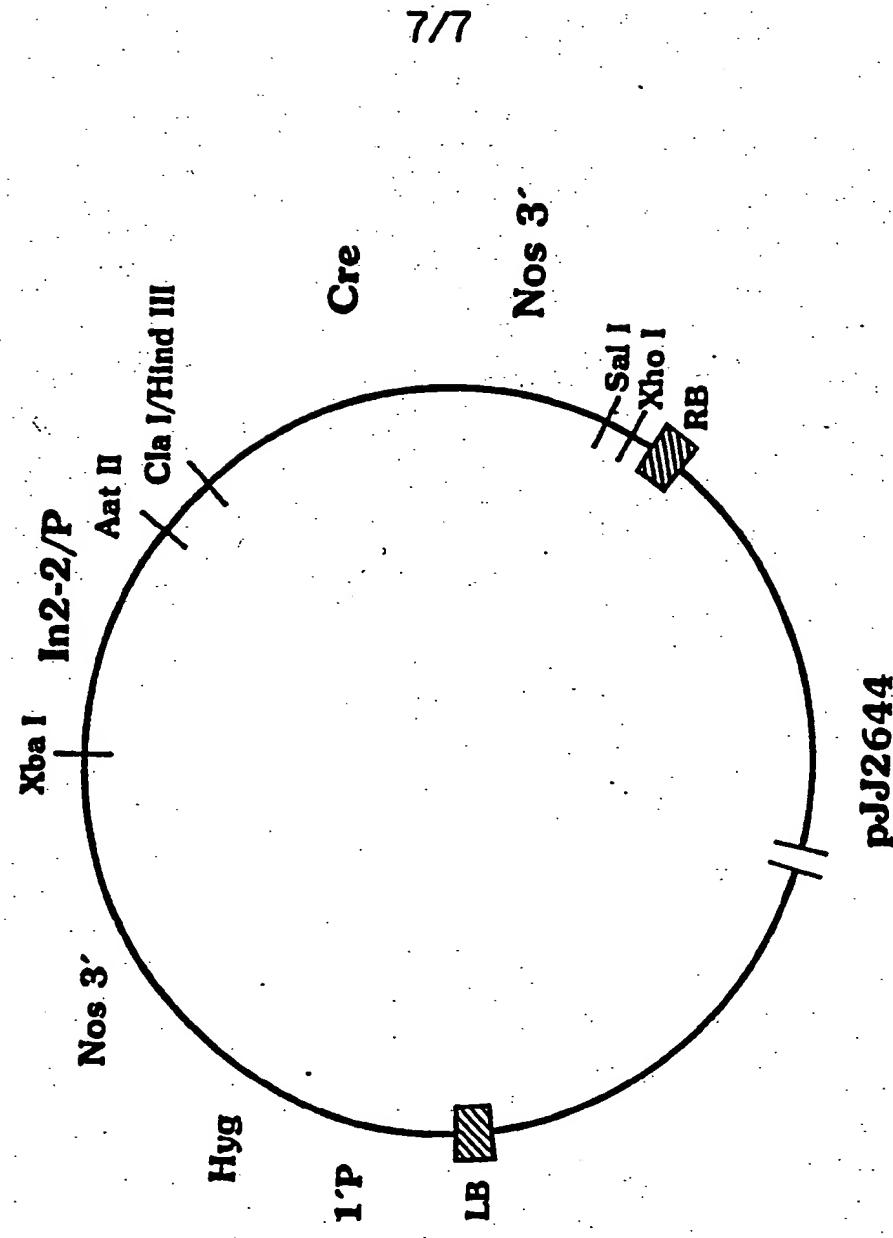
FIG. 4

pZ4LOXAG



pBSCre103

FIG. 5



# INTERNATIONAL SEARCH REPORT

International Application No. PCT/US 90/07295

## I. CLASSIFICATION OF SUBJECT MATTER (If several classification symbols apply, indicate all) \*

According to International Patent Classification (IPC) or to both National Classification and IPC

IPC<sup>5</sup>: C 12 N 15/82, C 12 N 5/10, A 01 H 5/00

## II. FIELDS SEARCHED

Minimum Documentation Searched ?

| Classification System  | Classification Symbols |
|--|------------------------|
| IPC <sup>5</sup>   | C 12 N, A 01 H         |
| Documentation Searched other than Minimum Documentation<br>to the Extent that such Documents are Included in the Fields Searched * |                        |

## III. DOCUMENTS CONSIDERED TO BE RELEVANT \*

| Category * | Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages <sup>12</sup>  | Relevant to Claim No. <sup>13</sup> |
|------------|---|-------------------------------------|
| X          | Nucleic Acids Research, vol. 17, no. 1, 1989,<br>B. Sauer et al.: "Cre-stimulated recombination at loxP-containing DNA sequences placed into the mammalian genome", pages 147-161<br>see abstract; page 148, "Plasmid constructions";<br>(cited in the application) | 30, 32-34,<br>36                    |
| A          | ---   | 1-29, 31, 35,<br>37                 |
| A          | EP, A, 0220009 (DU PONT)<br>29 April 1987<br>see the whole document   | 1-37                                |
| P, X       | Mol. Gen. Genet., vol. 223, September 1990,<br>Springer-Verlag 1990,<br>J. Odell et al.: "Site-directed recombination in the genome of transgenic tobacco", pages 369-378<br>see the whole article  | 1-10, 20-40<br>. / .                |

\* Special categories of cited documents: <sup>10</sup> --

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art

"Z" document member of the same patent family

## IV. CERTIFICATION

Date of the Actual Completion of the International Search

26th March 1991

Date of Mailing of this International Search Report

23. 04. 91

International Searching Authority

EUROPEAN PATENT OFFICE

Signature of Authorized Officer

M. PEIS

M. Peis

## III. DOCUMENTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET)

| Category | Citation of Document, <sup>11</sup> with indication, where appropriate, of the relevant passages   | Relevant to Claim No. |
|----------|--|-----------------------|
| P,X      | Gene, vol. 91, 1990,<br>Elsevier Science Publishers B.V.,<br>E.C. Dale et al.: "Intra- and inter-<br>molecular site-specific recombination<br>in plant cells mediated by bacterio-<br>phage P1 recombinase", pages 79-85<br>see the whole article<br>----- | 11-19                 |

ANNEX TO THE INTERNATIONAL SEARCH REPORT  
ON INTERNATIONAL PATENT APPLICATION NO.

US 9007295  
SA 43346

This annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report. The members are as contained in the European Patent Office EDP file on 15/04/91. The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

| Patent document cited in search report | Publication date | Patent family member(s)         | Publication date     |
|--|------------------|---------------------------------|----------------------|
| EP-A- 0220009                          | 29-04-87         | JP-A- 62175184<br>US-A- 4959317 | 31-07-87<br>25-09-90 |